

Manuscript Number: CRST-D-15-00255R2

Title: Characterization of summer Arctic sea ice morphology in the 135°-175°W sector using multi-scale methods

Article Type: Research Paper

Keywords: Sea ice; concentration; thickness; melt pond; morphology; Arctic

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Abstract: In summer 2014, sea ice morphological characteristics were investigated in the 135°-175°W sector of the Arctic Ocean using in situ, shipborne, and remote sensing measurements. Sea ice in this sector was deformed and compact compared to previous observations. The average ice area in the region (70°-82.5°N, 135°-175°W) was  $7.6 \times 10^5$  km<sup>2</sup> for 29 July through 6 September 2014, the fourth largest record between 2003-2014. This can be attributed to the enhanced multiyear sea ice inflow from north of the Canadian Arctic Archipelago from September 2013 to August 2014. Multiyear ice coverage in the study region on 30 April 2014 was 55%, which was larger than the values in 2005-2013. During the melt season of 2014, the Arctic Dipole had a positive anomaly, associated with enhanced southerly wind. In summer 2014 the marginal ice zone exhibited a distinct ice retreat, whereas the pack ice zone (PIZ) showed strong persistence due to the large coverage of multiyear ice. The northward retreat of the PIZ boundary was less than 100 km from late July through early September 2014. In the PIZ of 76-80.5°N, average ice thickness weighted by ice concentration, obtained by shipborne measurements in August 2014 was 0.54 m thicker than that obtained in August 2010 due to enhanced ice deformation and less open waters in 2014. At 81°N, sea ice with modal thickness of 1.48 m reached thermodynamic balance by late August 2014, which was much earlier than that in 2010.

Dear Dr. Juerg Schweizer,

We would like to submit a second revised manuscript named by “Characterization of summer Arctic sea ice morphology in the 135°–175°W sector using multi-scale methods” [CRST-D-15-00255R1] to Cold Regions Science and Technology. According to the comments from you and reviewers, we made a revision for the manuscript by carrying out the tasks given below: (a) revise some figures, and (b) make the expression cleaner.

Please find the following files in our submission package:

1. The manuscripts (DOC file) with tracked changes,
- and 2. Responses to the comments of reviewers.

Thank you for your time.

Sincerely,

Ruibo Lei, other co-authors

### Reply to the Reviewer

1 line 9-11, the sentence "combined with ..." seems not needed, can be deleted. If you want to keep, I suggest to change "these indicated" to "it is found"

We modified this expression (Page 2-Line 9-10).

2 line 13, add "and properties" behind the information.

We added it according to the suggestion. (Page 3-Line 13)

3 line 20-21, the sentence "for Hs+i about ...." is not clear, please rewrite it.

We rewrote it. (Page 8-Line 20-21)

4 fig 1, caption, spell out SS, LS, MIZ, PIZ.

We spelled out these abbreviations in the caption of Fig. 1. (Page 6-Line 8-9)

5 figure 4, I would still think it is important to identify two modes from the EM31 for a, b, d, f in the figure, since these may well represent two different types of sea ice. The majority level ice (first peak) and secondary level ice (second peak). Then relative text in page 14 and 15 should be revised there. the line 11-16 of page 15 about the two modes are basically right. But it is not accurate since the some regions has only one mode, some regions mode values are not as said there are 0.5-0.6, and 1.0-1.3

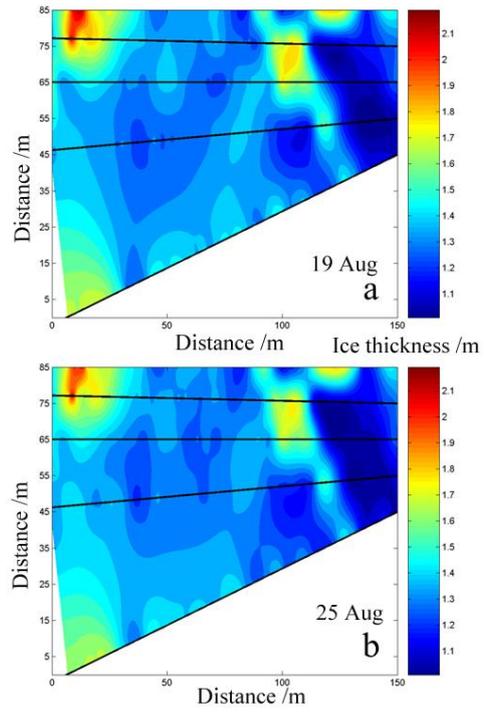
We identified two modes from the ice thickness shown in this figure and modified the related expression. (Figure 4, Page 14-15)

6 figure 7, I believe the outlines of melt ponds in d is not quite right. I hope you can make exactly outline of melt ponds based on figure 2. Based on my reading of the figures, I believe the EM31 underestimate ice thickness for both ridge and melt pond. Please check and revise the text if I am right. It is interesting to see the change in sea ice thickness from 19th to 25th, but it is not shown any result here. I hope it can be added.

(1) We made the outlines of melt ponds shown in Fig. 7d more correct.

(2) Yes, the EM31 underestimate ice thickness for both ridge and melt pond. We revised the related text. (Page 19-Line 14-15, Page 20, Line 1-3)

(3) Both the average value and spatial distribution of sea ice thicknesses obtained from 19 and 25 August did not show identifiable change as shown below. To save the space, we don't want to show this fig in the paper, but add some descriptions on this measurement. (Page 20, Line 5-6)



Sea ice thickness distribution on 19 and 25 August

1 Characterization of summer Arctic sea ice morphology in the 135°–175°W  
2 sector using multi-scale methods

3  
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## Highlights

- Summer Arctic sea ice morphology has been measured using multi-scale methods
- The PSA had compact sea ice in summer 2014 due to year-round negative AO
- Larger winter ice inflow and less summer melt induced earlier refreezing in 2014

### ABSTRACT

In summer 2014, sea ice morphological characteristics were investigated in the 135°–175°W sector of the Arctic Ocean using *in situ*, shipborne, and remote sensing measurements. Sea ice in this sector was deformed and compact compared to previous observations. The average ice area in the region (70°–82.5°N, 135°–175°W) was  $7.6 \times 10^5$  km<sup>2</sup> for 29 July through 6 September 2014, the fourth largest record between 2003–2014. This can be attributed to the enhanced multiyear sea ice inflow from north of the Canadian Arctic Archipelago from September 2013 to August 2014. Multiyear ice coverage in the study region on 30 April 2014 was 55%, which was larger than the values in 2005–2013. During the melt season of 2014, the Arctic Dipole had a positive anomaly, associated with enhanced southerly wind. In summer 2014 the marginal ice zone exhibited a distinct ice retreat, whereas the pack ice zone (PIZ) showed strong persistence due to the large coverage of multiyear ice. The northward retreat of the PIZ boundary was less than 100 km from late July through early September 2014. In the PIZ of 76–80.5°N, average ice thickness weighted by ice concentration, obtained by shipborne measurements in August 2014 was 0.54 m thicker than that obtained in August 2010 due to enhanced ice deformation and less open waters in 2014. At 81°N, sea ice with modal thickness of 1.48 m reached thermodynamic balance by late August 2014, which was much earlier than that in 2010.

**Key words:** Sea ice; concentration; thickness; melt pond; morphology; Arctic

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## **1 Introduction**

Arctic Sea ice has declined rapidly during the last three decades, as substantiated by the reductions in sea ice extent (Xia et al., 2014) and thickness (Kwok and Rothrock, 2009), loss of multiyear ice coverage (Comiso, 2012) and total ice volume (Laxon et al, 2013). The most significant decline of summer Arctic sea ice extent occurred in the Pacific sector from the Beaufort Sea to East Siberian Sea (Xia et al., 2014), due to the enhanced positive polarity of the Arctic Dipole Anomaly (DA) (Wang et al., 2009), the increased Pacific inflow (Shimada et al., 2006), and the ice albedo feedback (Perovich et al., 2008). Sea ice area in this sector has substantial interannual variability caused by atmospheric circulation (Wei et al., 2014), and the reduced summer ice cover contributes significantly to the decrease in the Arctic multiyear ice coverage (Kowk and Cunningham, 2010).

**Spaceborne sensors deliver Arctic-wide sea ice information and properties.** Sea ice concentration data have been available since the late 1970s, with higher resolution data, derived from the Advanced Microwave Scanning Radiometer onboard EOS (AMSR-E) and its successor (AMSR2), available from June 2002 through October 2011 and from July 2012 onwards. Using the algorithm of ARTIST Sea Ice (ASI) (Sprenn et al., 2008), the University of Bremen provides AMRS-E and AMSR2 ice concentrations with consistent grid resolution of  $6.25 \times 6.25 \text{ km}^2$ . Under clear sky conditions, the MODerate-resolution Imaging Spectroradiometer (MODIS) provides optical imagery at a spatial resolution of  $250 \text{ m} \times 250 \text{ m}$ . However, because of limitations due to cloud cover, MODIS cannot provide sustained data for sea ice charting. The laser altimeter onboard ICESat and radar altimeter onboard CryoSat-2 provide ice freeboard data from 2003 to 2008 (intermittently) and 2010 onwards, respectively. However, because of surface melt, spaceborne altimeter cannot accurately measure the ice freeboard during summer.

1 Shipborne observations give a snapshot of the spatial distributions of sea ice  
2 morphological parameters, including concentration, thickness, and surface features, at local to  
3 regional scales. These observations can provide a larger perspective than *in situ* observations,  
4 while detecting small-scale features that are not resolved by satellite measurements.  
5 Therefore, they are a bridge between satellite and *in situ* observations. In the Southern Ocean,  
6 the protocol of the Antarctic Sea Ice Processes and Climate program (ASPeCt) has been used  
7 to systematically record sea ice morphology since the 1990s (Worby and Allison, 1999).  
8 Similar to the ASPeCt, the protocol of the Arctic Shipborne Sea Ice Standardization Tool  
9 (ASSIST) was established by the Climate-Cryosphere Arctic Sea Ice Working Group, to  
10 characterize typical Arctic conditions, e.g., surface melt pond and impurity concentrations. Its  
11 quantization of sea ice concentration is the same as that in ASPeCt and it provides output  
12 conforming to the World Meteorology Organization (WMO) "egg code". Sustained data  
13 records collected using consistent observational methods are propitious to identify long-term  
14 change. For example, many marine science voyages have covered the Pacific sector of the  
15 Arctic Ocean since 1994 (e.g., Lei et al., 2012a; Li et al., 2005; Lu et al., 2010; Perovich et  
16 al., 2009; Tucker et al., 1999; Xie et al., 2013). Most of these cruises took place between late  
17 July and early September. Prior to 2010 the ASPeCt protocol was used to observe underway  
18 sea ice morphology, whereas the ASSIST protocol was used since 2010.

19 Dynamic interactions between sea ice and ocean are strongly dependent on sea ice  
20 bottom morphology. The geometrical parameters of the ice ridge are the dominant factors for  
21 the ice-ocean drag coefficient (Lu et al., 2011). When the weathering of sea ice surface is  
22 advanced, the sail depth, and hence the thickness of deformed ice is difficult to estimate by  
23 visual observation (Tin and Jeffries, 2003). An electromagnetic-inductive (EM) sounding  
24 instrument suspended beyond the icebreaker can overcome this problem (Haas, 1998).  
25 Furthermore, ground-based EM can measure sea ice thickness with higher spatial resolution

1 than shipborne EM (Xie et al., 2013). However, it still cannot provide data of ice surface and  
2 bottom morphology separately. Upward looking sonar (ULS) onboard an underwater vehicle  
3 can map ice bottom morphology at high spatial resolution (Williams et al., 2014). Thus,  
4 combined using of both *in situ* measurements of EM and ULS is a good method to  
5 completely characterize sea ice morphology.

6 The sixth Chinese National Arctic Research Expedition was conducted using R/V  
7 *Xuelong* in summer 2014 (CHINARE-2014). Shipborne observations of sea ice morphology  
8 were made in the Pacific sector of the Arctic Ocean from late July through early September  
9 2014. *In situ* EM and ULS measurements were made at several ice stations. In the present  
10 study, we combined the data from *in situ* and spaceborne measurements to give a full picture  
11 of summer sea ice morphology in the study region, from floe to basin scales. The data  
12 collected in 2014 were compared with historical data obtained by shipborne and spaceborne  
13 measurements, to determine interannual variability and responses to changes of atmospheric  
14 circulations.

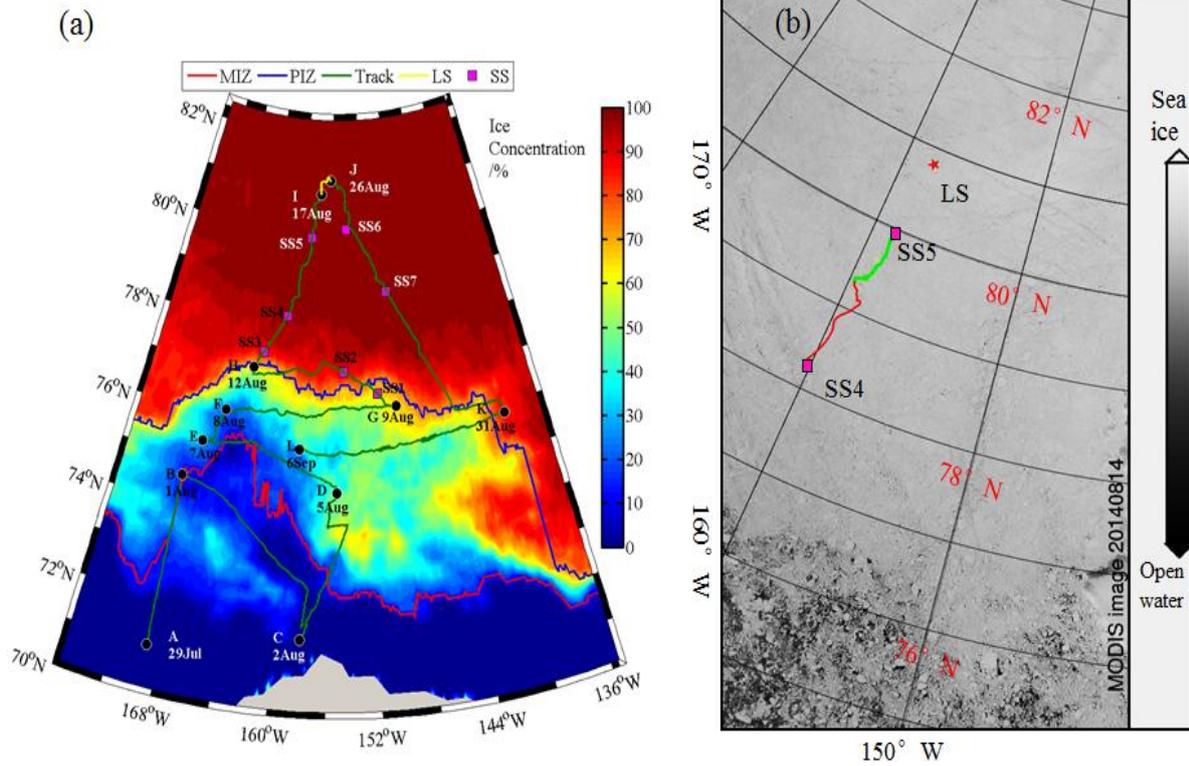
## 15 **2 Methods and data**

### 16 **2.1 Overview of CHINARE-2014**

17 During the CHINARE-2014, the R/V *Xuelong* entered the Arctic sea ice zone north of  
18 Alaska on 29 July 2014 (Fig. 1). The vessel traversed in 155°–170°W before entering the  
19 pack ice zone (PIZ) at 76.2°N/167.0°W on 8 August 2014. Five short-term stations (SS) of 3  
20 to 8 h duration were conducted within the PIZ, covering the region around the Chukchi Cap,  
21 to characterize sea ice physics. Following SS 5, the vessel continued northward into the  
22 Canadian Basin to 80.8°N, 157.6°W (point I), where a long-term station (LS) was set up on  
23 17 August 2014.

24 On 26 August 2014, following 9 days at the LS, the vessel navigated southward,  
25 stopping for SS 6 and 7 on 28 August 2014. The vessel entered the marginal ice zone (MIZ)

1 at 76.1°N, 143.5°W on 30 August 2014, within which it navigated westward and then exited  
 2 into open water on 6 September 2014 (Fig.1, point L). we defined the various legs along the  
 3 vessel's track according to turning points, with A–I and J–L for the northward and southward  
 4 trajectories, respectively.



5  
 6 Fig. 1 (a) Average sea ice concentration derived from AMSR2 data, from 29 July to 6  
 7 September 2014, with the trajectory of the *Xuelong* (green) and its turning points (black dots,  
 8 A–L), locations of short-term station (SS, purple square) and long-term station (LS, yellow  
 9 line), southern boundary of the marginal ice zone (red), and pack ice zone (blue). (b) Optical  
 10 imagery from MODIS on 14 August 2014, with the ship trajectory on 14 (red) and 15 (green)  
 11 August, plus locations of SS 4, SS 5, and LS.

## 12 2.2 Shipborne measurements

13 An EM31-ICE (9.8 kHz, Geonics) was mounted over the vessel's port side, about 15 m  
 14 back from the bow to avoid data contamination by ice cracks formed by the vessel itself. The  
 15 EM31 together with a GPS receiver (Jupiter 32, Navman), ultrasonic ranging sensor (SR50A,

1 Campbell), and laser altimeter (LDM42.2, Jenoptik) were fixed in a fiberglass-reinforced  
 2 frame, to enable stable deployment to a height of 4.0 m above waterline and 8.0 m beyond the  
 3 ship's hull. Both the ultrasonic ranger and laser altimeter were used to measure the distance  
 4 between the instrument and snow/water surface ( $H_L$ ). The accuracies of the ultrasonic sensor  
 5 and the laser are  $\pm 0.01$  m and  $\pm 0.005$  m, respectively. The EM31 measured the apparent  
 6 conductivity ( $\delta$ ) in the vertically magnetic dipole mode. At both, LS and SS 6, the distance  
 7 between the system and ice surface was varied from 4.0 m to 0.0 m, in 0.10 m steps to obtain  
 8 the altitude dependency of  $\delta$ . Total thicknesses of snow and sea ice ( $H_{s+i}$ ) at five  
 9 representative sites within the EM31 footprint were obtained from boreholes. Using 41 pairs  
 10 of  $\delta$  and distance between the sensor and ice-water interface ( $H_{EM}$ ), an empirical relationship  
 11 of  $H_{s+i}$  varying as a function of  $\delta$  and  $H_L$  was then established as:

$$12 \quad H_{s+i} = 11.027 - \text{LN}(\delta - 9.01)0.578 - H_L. \quad (1)$$

13 The fit deviation is  $0.15 \pm 0.15$  m (or  $10\% \pm 10\%$ ), which is significant at the 0.05  
 14 confidence level. In most cases, the laser records were used to determine  $H_L$ . In cases when  
 15 the laser pointing was over open water, data from the sonic sensor were used. During passage  
 16 within ice zone, the vessel's speed averaged 3–10 knots. This means that the ship moved 1.6–  
 17 5.2 m between two samples of the EM31. Kovacs et al. (1995) estimated that the footprint  
 18 diameter for a vertically magnetic dipole mode is  $\sim 1.3$  times the EM antenna height above  
 19 the ice-water interface. With a distance between the EM31 and ice-water interface of  $\sim 5.5$  m,  
 20 the footprint is  $\sim 7.2$  m. Therefore, this system cannot resolve the high-frequency variability  
 21 of ice bottom morphology. Data acquired when the vessel stopped was excluded from the  
 22 analysis. A cutoff of 0.1 m in  $H_{s+i}$  was used to identify open water, because of relatively large  
 23 uncertainties in the EM31 measurements over very thin ice.

1 Half-hourly ASSIST observations were conducted at the bridge of the R/V *Xuelong* to  
2 document sea ice concentration, sea ice and snow thickness, fractions (the area ratio relative  
3 to sea ice) of melt ponds, dirty ice (with severe impurity depositions) and ridging, and floe  
4 size. Sea ice concentration was only assessed for a local area with a diameter of 2 km, which  
5 might be reduced to less than 1 km on foggy days. Sea ice thickness was estimated through  
6 scaling the thickness of overturning ice block with a buoy suspended near the waterline. This  
7 method is well suited to measure the thickness of level ice but not ice ridges because, upon  
8 being turned over, the ice ridges often disintegrate (Tin and Jeffries, 2003). Surface  
9 temperatures of water or sea ice were measured by a downward looking infrared thermometer  
10 (KT19.82, Heitronics), with an accuracy of  $\pm 0.2^{\circ}\text{C}$ . The thermometer was mounted off  
11 vessel's port side at a height of 4.0 m above the waterline and 2 m from the outermost surface  
12 of the vessel's hull, hence ensuring that the vessel's hull is outside the instrument footprint.  
13 The measurement of surface temperature was used to identify the melt stage of ice surface.  
14 Visual observation of sea ice morphology and surface temperature measurements were carried  
15 out throughout the campaign. Measurements of ice thickness using the EM31 are available  
16 from 31 July to 31 August 2014.

### 17 **2.3 *In situ* measurements**

18 At each SS, we occupied a representative thickness profile of 50–200 m length over  
19 visually level sea ice. Along this profile, the EM31 was placed directly on the snow surface in  
20 the vertically magnetic dipole mode. **Because the  $H_{s+i}$  was about 1.5 m, the footprint for the**  
21 **ground-based EM31 measurements was estimated at about 2.0 m.** This measurement,  
22 associated with recording snow depth, was done every 1 m. Snow and sea ice thicknesses  
23 were measured via boreholes every 10 m along the same profile. Coincident EM31 and  
24 borehole measurements from all SS were used to derive the empirical relationship between  
25  $H_{s+i}$  and  $\delta$ .

$$H_{s+i}=12.851-\text{LN}(\delta)0.438. \quad (2)$$

The best fit distance is  $0.13\pm 0.10$  m (or  $8\%\pm 6\%$ ), significant at the 0.01 confidence level.

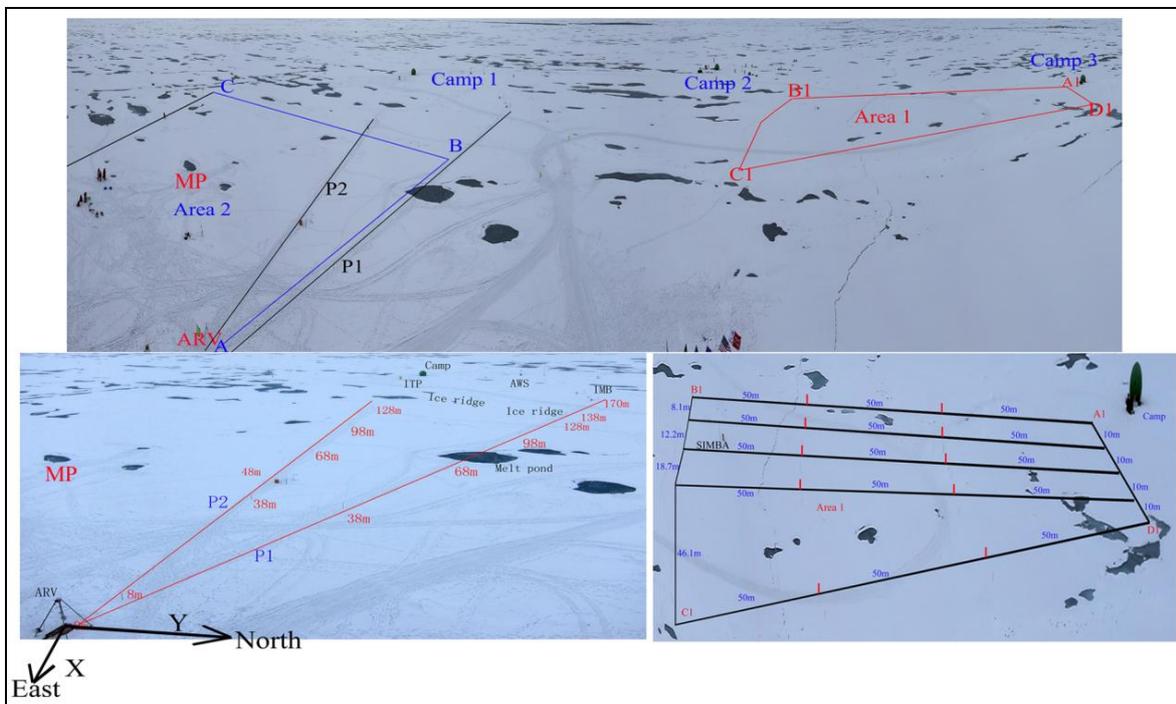
Sea ice thickness ( $H_i$ ) at all EM measurement sites was then acquired by subtracting snow depth ( $H_s$ ) from  $H_{s+i}$ .

At LS, a quasi-trapezoidal area was set out for sea ice thickness and snow depth measurement by EM31 and borehole (Area 1 in Fig. 2). Along five profiles of 150 m within this area,  $H_{s+i}$  and  $H_s$  was measured using the EM31 and a snow ruler every 1 m, and  $H_s$ ,  $H_i$ , and freeboard ( $H_f$ ) were obtained by borehole every 10 m. On 19 August 2014, both borehole and EM31 measurements were made. EM31 measurement was repeated on 25 August 2014.

Another area with a size about  $100\text{ m} \times 100\text{ m}$  (Area 2 in Fig. 2) was outlined to measure sea ice draft ( $H_d$ ) using an Autonomous and Remotely operated underwater Vehicle (ARV). A floe-referenced navigational coordinate system was defined. To measure  $H_d$ , the mission was preprogrammed and the trajectory was pre-set to comb-like prior to the ARV launch. An inertial navigation system, comprising an Octans-1000 fiber optic gyro (IXSEA) and a Doppler velocity log (DVL, Teledyne RD Instruments), was used to obtain the ARV position relative to the ice. Rotation of the floe was measured by dual GPS on the surface, with real-time data sent to the underwater control system. The DVL, assembled with four sonic transducers, was also used as an ULS to measure the distance to the ice bottom. The beam angle of each transducer was  $3.6^\circ$ . During the measurements, the ARV navigated at a depth of  $\sim 6$  m below the waterline. With ice draft of 1.5 m, the footprint diameter for each beam under the ice base was  $\sim 0.3$  m. The distance to the ice base was obtained by averaging measurements of the four transducers.  $H_d$  was acquired by subtracting this distance from navigation depth. ULS measurements had an interval of 0.1 m along the track. The ARV was

1 launched three times at the LS between 21 and 23 August 2014, with measurement areas 100  
 2 m × 37.8 m, 99.2 m × 87.9 m, and 99.7 m × 86.7 m, respectively.

3 In addition, two transects from the ARV launch hole, with lengths 180 m (P1) and 130 m  
 4 (P2), were defined for comparisons among the ULS, EM and borehole measurements (Fig. 2).  
 5 On 22 August 2014, the ULS, EM31, and borehole measurements were taken along the  
 6 transects at intervals 0.1, 1, and 10 m, respectively. The ULS measurements were done along  
 7 both the forward and backward navigations. Along the P1, there was a melt pond 68–80 m  
 8 from the launch hole. Surface measurements could not be made there.



9  
 10 Fig. 2 Measurement areas by borehole and EM31 in Area 1, by ULS in Area 2, and by  
 11 borehole, EM31 and ULS along P1 and P2. Dark patches are melt ponds (MP).

## 12 2.4 Remotely sensed data

13 The CHINARE-2014 cruise trajectory set the study domain: 70°–82.5°N, 135°–175°W,  
 14 and the study period from 29 July to 6 September. Daily AMSR-E/AMSR2 ASI ice  
 15 concentration data (6.25×6.25 km<sup>2</sup>) were used to track the evolution of the 2003–2014 sea ice

1 area across the domain. Thresholds of 15% and 75% ice concentration were used to define the  
2 southern boundaries of the MIZ and PIZ. Interannual variabilities were determined for the  
3 average sea ice area and the average MIZ and PIZ boundaries from 29 July through 6  
4 September. In addition, a MODIS imagery with 250-m resolution on 14 August 2014 was  
5 used to visually characterize spatial distributions of sea ice conditions (Fig. 1b). To explore  
6 the relationship between interannual variabilities of sea ice in the study domain and those in  
7 the entire Arctic Ocean, we calculated Arctic-wide sea ice extent averaged in the same period  
8 and used annual minimum Arctic sea ice extent determined by the Special Sensor  
9 Microwave/Imager (SSM/I) data (Fetterer et al., 2002).

10 At the basin scale, sea ice morphological characteristics depend mainly on the coverage  
11 of multiyear ice. Sea ice classes can be assigned from atmospherically corrected SSM/I  
12 brightness temperatures and advanced scatterometer data (Eastwood, 2012). Data of sea ice  
13 type are compiled and archived by the Norwegian Meteorological Service Ocean and Sea Ice  
14 Satellite Application Facility (OSI-SAF) system, available from 2005 to present during the  
15 freezing period through 30 April each year. GPS data from ice-tethered buoys can be used to  
16 characterize sea ice advection. Here, we combined the data of OSI-SAF ice type on 30 April  
17 2014 and GPS data from 30 April through 6 September 2014 measured by nine Ice-Tethered  
18 Profilers (ITP), to extrapolate the coverage of multiyear ice in the study domain during the  
19 summer. The ITP data were provided by the Woods Hole Oceanographic Institution. The sea  
20 ice classes in 2014 were compared with those from 2005 to 2013.

## 21 **2.5 Auxiliary data**

22 Besides the CHINARE-2014, shipborne observations of eight other cruises in the Pacific  
23 section of the Arctic Ocean from 1994 to 2012 were used to characterize the interannual  
24 variability. Two of the cruises were transpolar, including the Arctic Ocean Section in 1994  
25 (AOS94) (Tucker et al., 1999) and Healy Oden TRans-Arctic eXpedition in 2005

1 (HOTRAX05) (Perovich et al., 2009). Shipborne sea ice observations have been done during  
2 each CHINARE cruise since 2003 (Lei et al., 2012a; Li et al., 2005; Xie et al., 2013). Data  
3 collected in the study domain during the summers of 2003, 2008, 2010, and 2012 were used  
4 here. In addition, two ASSIST archived cruises coincide with our study domain. These were  
5 Canadian Coast Guard *St. Laurent* voyages in the summers 2006 and 2012.

6 NCEP/NCAR Reanalysis (Kistler et al., 2001) sea level air pressure for north of 70°N  
7 from 2003 to 2014 was used for empirical orthogonal function (EOF) analysis. The Arctic  
8 Oscillation (AO) and DA correspond to the first and second leading EOF modes (Wu et al.,  
9 2006). We analyzed empirical relationships between sea ice in the study domain and AO/DA  
10 indices to determine the responses of interannual variability of sea ice to atmospheric  
11 circulation patterns.

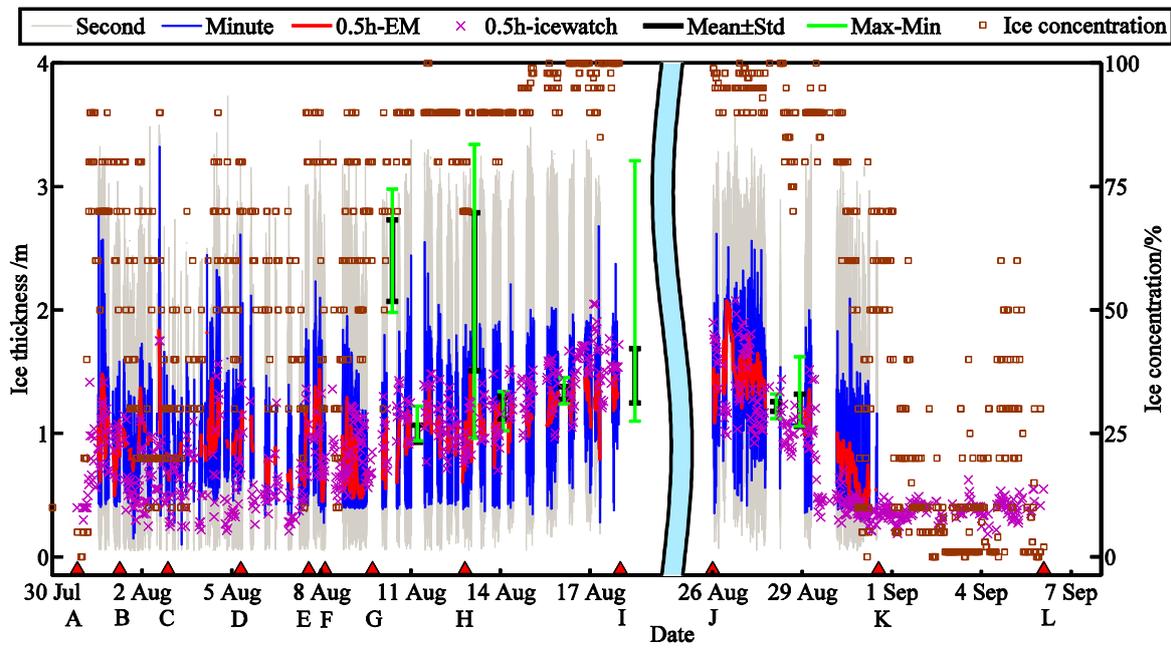
## 12 **3 Results**

### 13 **3.1 Sea ice morphology along ship track**

14 In the MIZ from A (71.0°N) to F (76.2°N), both sea ice concentration and  $H_{s+i}$  from  
15 visual observations showed large spatial variability, ranging 0–90% and 0.3–1.7 m,  
16 respectively (Fig. 3). Measurements of shipborne EM31 ranged from 0.1 to 3.7 m, with an  
17 average of  $0.92 \pm 0.45$  m, which was much larger than that from visual observation ( $0.68 \pm 0.31$   
18 m). The deviation between two measurements can be attributed to their contrasting ability to  
19 identify ice ridges. The fraction of ice ridge in the MIZ was extensive, because most level ice  
20 had already melted.

21 After entering the PIZ, sea ice concentration increased rapidly from point F to G, and  
22 then remained above 70%. North of SS4 (14 August, 78.3°N), ice concentration increased  
23 to > 90%. Accordingly,  $H_{s+i}$  from visual observations increased from a bin of 0.4–1.4 m  
24 around point F to a bin of 1.1–2.0 m upon approaching the LS (80.8°N). A distinct change in  
25 the EM31 measurements occurred around SS4. North of this station, all thin ice was contained

1 in narrow leads due to the refreezing. As the widths of most leads in the region were smaller  
 2 than the horizontal resolution of EM31, the EM31 did not detect any thin ice with  $H_{s+i} < 0.2$   
 3 m there. Half-hourly averaged EM31 data increased from a range of 0.4–1.5 m near F to a  
 4 range of 1.1–1.3 m near the LS.



5

6 Fig. 3 Variations of sea ice (+ snow) thickness measured by shipborne EM31 (grey) and its  
 7 minute and half-hourly averages (blue and red), from visual observation (purple), and EM31  
 8 at ice stations (black and green). Sea ice concentration from the visual observation (brown);  
 9 light blue strip is temporal gap during the LS.

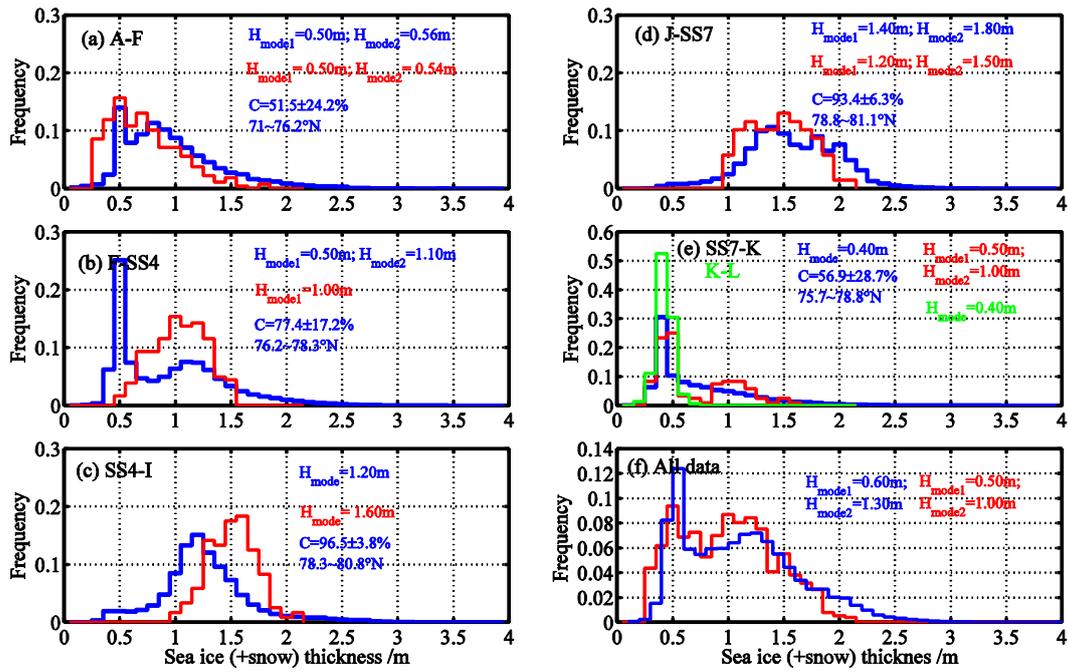
10 The sea ice concentration remained above 75% as the ship moved southward from the  
 11 LS to 77.4°N. From there the concentration decreased gradually. South of SS7, the ship  
 12 departed the PIZ and reentered the MIZ. There, ice thickness decreased gradually. By 31  
 13 August 2014 (76.1°N),  $H_{s+i}$  from both visual observations and half-hourly average EM31 data  
 14 decreased to 0.2–0.4 m. From points K to L, the visually observed ice concentration showed  
 15 great spatial change, from 0 to 70%, whereas the visually observed  $H_{s+i}$  remained low (0.2–

1 0.6 m). This implies that the ice in this region was likely subject to completely melt by early  
2 September.

3 *In situ* EM31 measurements confirmed that the transects at SS 2, 4, 5 and 6 were on level  
4 ice. Along these transects, the maximum-minimum difference of thickness as well as twice  
5 the standard deviation were less than 0.3 m. At SS1, SS3, LS, and SS7, although the ice  
6 surface appeared level, thickness measurements indicated that the floes were deformed.  
7 Weathering was the most likely reason for the smoothing of the upper surface. The  
8 maximum-minimum difference of  $H_{s+i}$  at the aforementioned stations was 0.7–2.0 m.

9 To characterize sea ice thickness probability distribution, the domain was divided into 5  
10 sub regions: Region 1 from points A to F, the MIZ along the northward track; Region 2 from  
11 point F to SS4, the southern PIZ along the northward track; Region 3 from SS4 to point I, the  
12 northern PIZ along the northward track; Region 4 from point J to SS7, the PIZ along the  
13 southward track; and Region 5 from SS7 to point K, the MIZ along the southward track.  
14 Many ice ridges but very little thin ice was identified by EM31 in Region 1 (Fig. 4a). In  
15 Region 2, ice concentration increased sharply compared with that in Region 1 (77.4% vs.  
16 51.5%), whereas, average ice thickness measured by the EM31 was nearly the same as that in  
17 Region 1 (0.90 m vs. 0.92 m). This deviation was due to the larger contribution of level ice  
18 effectively lowering the overall ice thickness in Region 2. **There were distinct modes can be**  
19 **identified in the sea ice thickness ditribution obtained by EM measurement is this region,**  
20 **with one centering at 0.50 m related to thin ice and the other centering at 1.10 related to level**  
21 **ice. The mode related to thin ice was more outstanding than that identified in Region 1.** The  
22 overall averages from the EM31 and visual observations were consistent (0.90 m vs. 0.99 m)  
23 in Region 2. In Region 3, average ice concentration increased to 96.5%, and the ice thickness  
24 increased too. The EM31 recorded very little thin ice, **winthout mode in thikness distribution**

1 **can identified for this ice type.** The mode of level ice determined by the EM31 was much  
2 smaller than that by visual observations (1.20 m vs. 1.60 m). We suspect that this was due to  
3 relatively thin ice surrounding melt ponds. This thin ice was easily missed by the visual  
4 observation, while melt pond coverage was relatively large in this region (~20%). Compared  
5 with Region 3, the range of modal peak for the ice thickness distribution was much broader in  
6 Region 4, which were 1.1–1.8 m and 1.4–2.0 m for the visual and EM31 measurements,  
7 respectively. In this region, ice ridge coverage was greater, but melt pond coverage was  
8 smaller than in Region 3. Consequently, the contributions of level ice and ice ridges to the  
9 probability distribution of ice thickness in Region 4 were mixed, resulting in the wider range  
10 of modal ice. In Region 5, average ice concentration declined remarkably to 56.9%,  
11 comparable to that in Region 1. However, the average ice thickness obtained by EM31 was  
12 0.68 m in this region, which was much thinner than that in Region 1 (0.92 m). **In the region**  
13 **from K to L, the mode related to thin ice was more outstanding than that from SS7 to K, and**  
14 **very few ice thicker than 0.75 m can be identified.** Using data from the entire campaign, the  
15 average ice thickness obtained from visual observations was 0.94 m, comparable to the  
16 averaged EM31 data (1.03 m). Two obvious modal peaks can be identified from both datasets,  
17 which centred at 0.5–0.6 m for thin ice and 1.0–1.3 m for level ice, respectively. The distinct  
18 difference is that the EM measurement detects more thick ice (with thickness > 2 m).  
19 Consequently, the probability distribution of EM observed ice thickness was much wider than  
20 that obtained by visual observation.

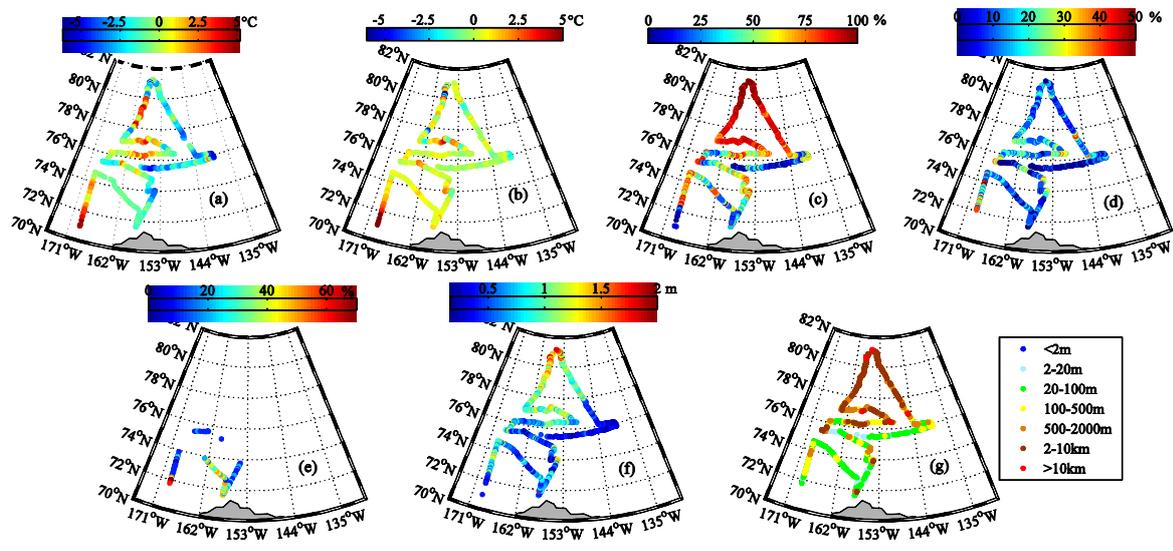


1

2 Fig. 4 Frequency distributions of  $H_{s+i}$  in various regions (a–e) and that obtained from all data  
 3 (f) of shipborne EM31 (blue) and visual observations (red and green);  $H_{mode}$  and  $C$  denote  
 4 modal of  $H_{s+i}$  and ice concentration.

5 According to spatial change in surface air temperature (Fig. 5a) and associated with a  
 6 large amount of open water encountered there, south of 72.5°N along the northward track,  
 7 surface temperature of ice/ocean was relatively high, ranging 3.0–6.0 °C (Fig. 5b). Even on  
 8 sea ice, surface temperature can reach above –1.8°C because of the formation of melt ponds,  
 9 where it was generally fresh water. Further north, surface temperature of ice/ocean decreased  
 10 as ice concentration increased (Fig. 5c). Upon entering the PIZ, surface temperature decreased  
 11 further to –6.0° – +1.5 °C. In the PIZ along the northward trajectory, maximum melt pond  
 12 fraction reached 30% (Fig. 5d). Assuming an albedo of 0.3, 0.7, and 0.1 for melt pond, sea  
 13 ice, and open water according to Lei et al. (2016), respectively, and ice concentration of 95%,  
 14 reductions of regional average albedo by melt ponds and open water are 17% and 4%,  
 15 respectively. Thus, melt ponds had much greater impact on the reduction of albedo than open  
 16 water in this region. In contrast to the northward track, along the southward trajectory spatial

1 variability of surface temperature was much less in both, the PIZ and the MIZ, ranging  $-3.0^{\circ}$   
 2  $- +1.0^{\circ}\text{C}$ . Surface temperature rarely reached above  $0^{\circ}\text{C}$  as refreezing.



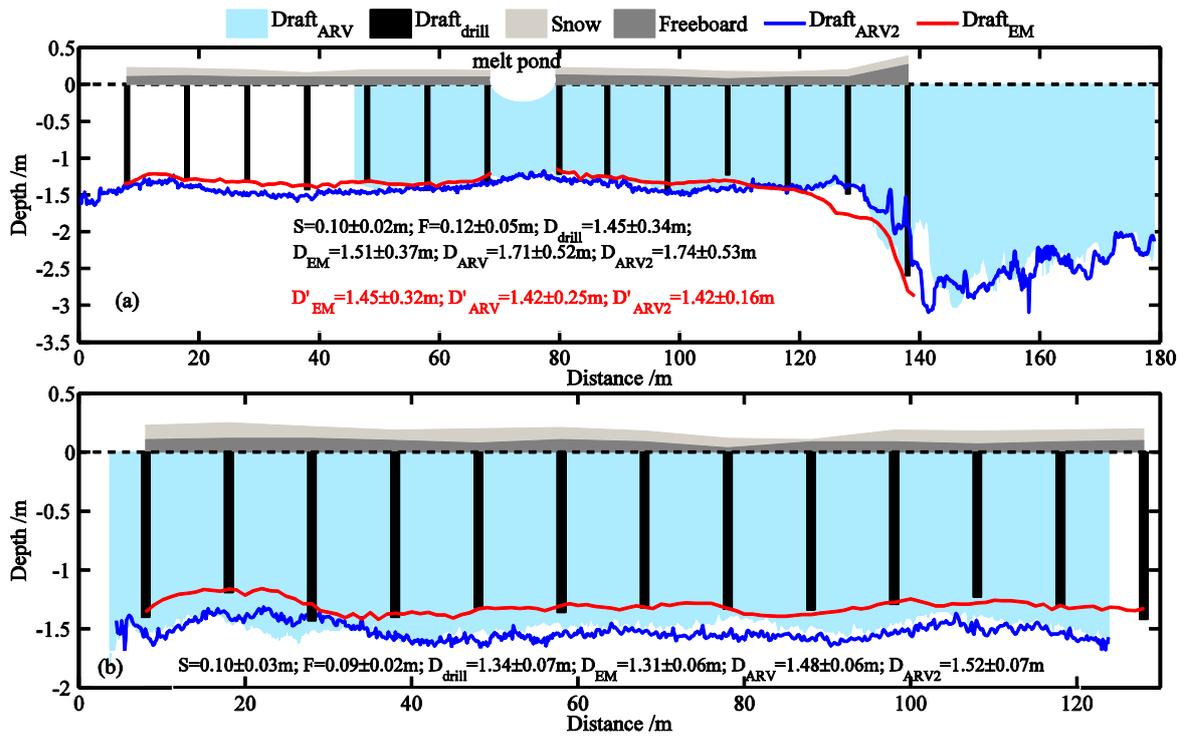
3  
 4 Fig. 5 Spatial distributions of surface air temperature measured by ship-based weather station  
 5 (a) and surface temperature of ice/ocean measured by shipborne infrared radiation  
 6 thermometer (b), sea ice concentration (c), melt pond and dirty ice fractions (d–e), sea ice  
 7 thickness (f), and floe size (g) from visual observations.

8 Dirty impurity-laden ice was found only in the southwest of the study domain (Fig. 5e).  
 9 The ice there may have grown in shallow near-coastal waters. During the ice growth season,  
 10 waves and turbulence carry sediment from the seabed upward, where they may be trapped  
 11 within the ice during freeze-up. Mammals on the sea ice may also trigger impurity transport to  
 12 the ice surface. The drift of sea ice can transport the sediment further north and induce shelf-  
 13 basin material exchange (Eicken et al., 2005). Impurities accumulated on or in sea ice are  
 14 likely to affect the surface energy balance by lowering the overall albedo. Shipborne albedo  
 15 measurements (data not shown here) revealed that the albedo of impurity-laden ice surface  
 16 was 0.3–0.5, much smaller than snow-covered ice (0.6–0.8).

1 Floe diameters (Fig. 5g) were mostly  $> 2$  km in the PIZ. In the MIZ, floe diameters were  
2 mostly  $< 500$  m. The large floe size and ice concentration in the PIZ means that few leads  
3 formed.

### 4 **3.2 Sea ice morphology at the long-term ice station**

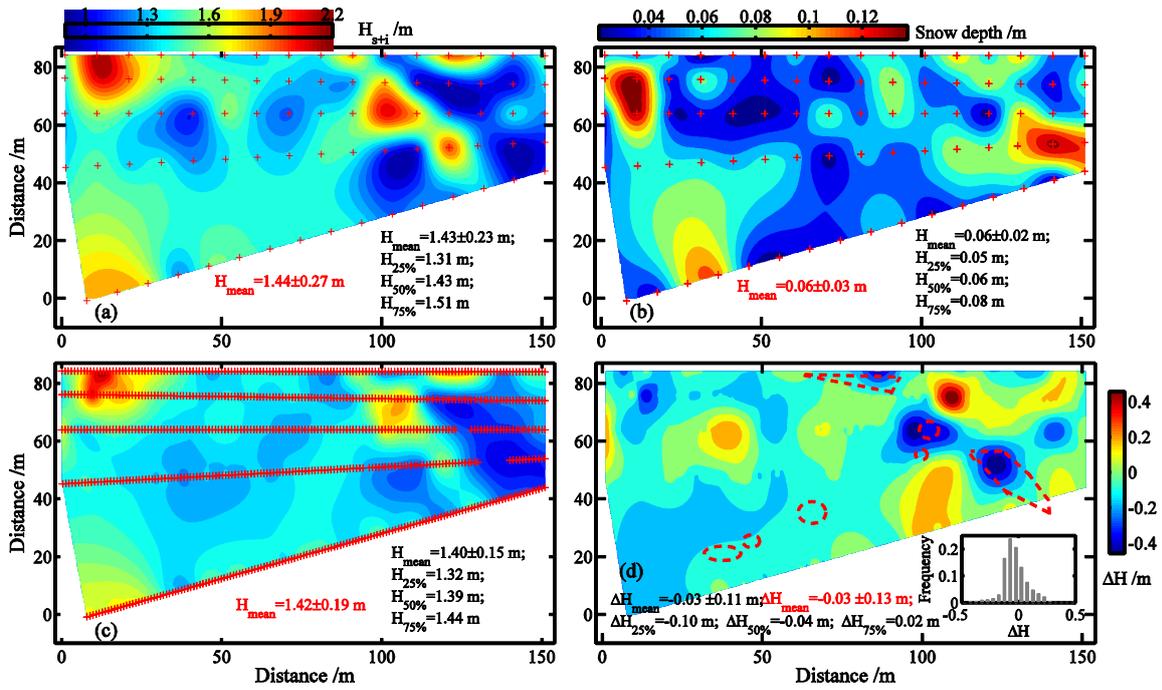
5 The freeboard data measured by borehole were interpolated to EM geolocations to  
6 estimate ice draft. The ice draft was  $1.51 \pm 0.37$  m and  $1.31 \pm 0.06$  m along P1 and P2,  
7 respectively (Fig. 6). Absolute deviations of ice draft were less than 0.10 m when compared  
8 with the borehole results. The largest deviation was in the transition area between level ice  
9 and ice ridges along P1, where ice draft from borehole and EM31 measurements was 1.49 m  
10 and 1.77 m, respectively. The main reason for this deviation was the poor lateral resolution of  
11 EM31 measurements. The EM31 footprint in the transition zone included both, level and  
12 ridged ice. In the measurement overlap part of P1, ice draft measured by the ULS was  $1.42 \pm$   
13  $0.25$  m and  $1.42 \pm 0.16$  m during the frontward and backward navigations, respectively,  
14 comparable to the EM31 measurements ( $1.45 \pm 0.12$  m). However, along P2, EM31 and ULS  
15 measurements showed substantial deviations. We suspect that this was caused by the  
16 relatively large across transect change in ice draft. Consequently the offset in geolocations of  
17 surface and underwater measurements could produce some identifiable deviations. Compared  
18 with the EM31 measurements, the ULS measurements have two advantages. Firstly, the latter  
19 has a higher horizontal resolution, and secondly, it can sample a region that cannot be  
20 accessed from the surface. For example, in the melt-pond region of P1, the decrease of ice  
21 draft was measured by the ULS. This is because the lower albedo of melt pond allows more  
22 solar radiation to be absorbed, which results in the ice under a melt pond to melt more readily,  
23 generating a bottom depression that mirrors the pond on the top side (Wadhams et al., 2006).



1  
2 Fig. 6 Sea ice draft (D), freeboard (F) and snow depth (S) along the P1 and P2 measured by  
3 borehole, a ULS onboard the ARV and an EM31; blue area and curve show data from the  
4 ARV frontward and backward navigations. Average  $\pm$  standard deviation: black for all data  
5 and red for overlap of EM31 and ARV measurements.

6 In Area 1, several melt ponds covered the lower right corner. Two ice ridges stretched  
7 from top to bottom at the left side and  $\sim 40\text{--}50$  m from the right side (Fig. 2). These  
8 morphologic characteristics were identified by both the borehole and EM31 measurements on  
9 19 August 2014 (Fig. 7). Data were two-dimensionally interpolated using kriging method  
10 (Oliver and Webster, 1990). Discrepancies between the data after and prior to the  
11 interpolation were less than  $0.02\text{m}$  for both  $H_s$  and  $H_{s+i}$ , which demonstrates the applicability  
12 of the interpolation method.  $H_{s+i}$  measured by the EM31 (Fig. 7c) was in good agreement  
13 with the borehole measurements (Fig. 7a). The average bias was  $-0.03\pm 0.11\text{m}$ , with 50% of  
14 the biases in the range  $-0.10$  to  $0.02$  m. The EM31 data were mostly smaller than the borehole  
15 measurements at ice ridges and around melt ponds (Fig. 7d). As mentioned above, this can be

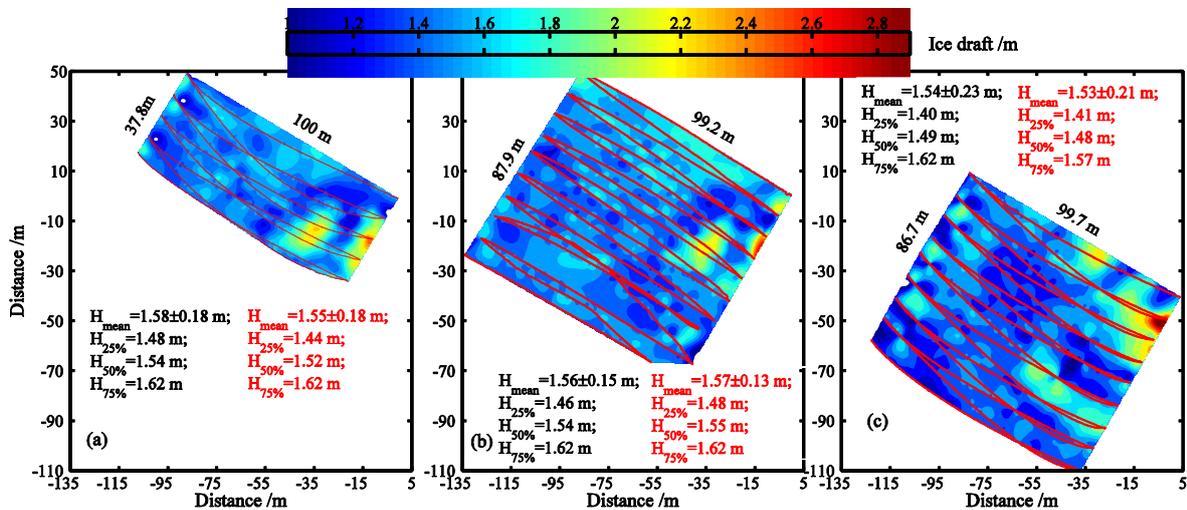
1 explained by the low spatial resolution of EM31 measurements, which would be involved  
2 with some adjacent level ice for the measurement at ridges or ponded ice for the measurement  
3 around the pond. Snow depth in Area 1 was 0.03–0.15 m. Surfaces with substantial  
4 roughness, e.g., surrounding ridged ice, were likely covered by thicker snow, because  
5 snowdrifts are hampered over rough surfaces. A repeat EM31 measurement on 25 August  
6 2014 yielded an average  $H_i$  of 1.35 m, very close to the average obtained on 19 August 2014  
7 (1.37 m). This implies that the sea ice reached thermodynamic balance by that time. Lei et al.  
8 (2012b) showed that the melt rate of the ice bottom at latitude about 87°N was ~ 0.008 m per  
9 day from 9–18 August 2010. They argued that this can be attributed to the remarkable sea ice  
10 loss in the central Arctic Ocean during summer 2010, where numerous broad leads appeared  
11 among the floes, with an ice concentration of 70–85%. On the contrary, during the LS of  
12 CHINARE-2014, sea ice concentration was mainly > 95% in the study area. High ice  
13 concentration implies less solar radiation absorbed by the upper ocean and a weaker ocean-to-  
14 ice heat flux. This is likely the major reason for the near zero melt rate of the ice bottom  
15 during the LS of CHINARE-2014.



1  
2 Fig. 7 Spatial distributions of  $H_{s+i}$  and  $H_s$  measured by borehole (a–b),  $H_{s+i}$  measured by  
3 EM31 on 19 August 2014 (c), and EM31 deviation from borehole measurements (d); inset  
4 shows corresponding frequency distributions. Red crosses denote measurement sites.  
5 Statistics for data prior to (red) and after (black) interpolation:  $H_{mean}$ ,  $H_{25\%}$ ,  $H_{50\%}$ , and  $H_{75\%}$   
6 indicate average, 25%, 50%, and 75% maxima of  $H_{s+i}$ , respectively. Dashed lines denote the  
7 rough outlines of melt ponds identified from surface as shown in Fig. 2.

8 The ice draft in Area 2 measured by the ULS onboard the ARV was also two-  
9 dimensionally interpolated using kriging method (Fig. 8). The absolute deviations of the  
10 average, 25%, 50% and 75% maxima of ice draft determined prior to and after the  
11 interpolation were  $< 0.05$  m. Underwater measurements indicated substantial anisotropy of ice  
12 bottom morphology, and no linear ridge could be identified. A small hummock centered at  
13  $-35$  m and  $-15$  m of the floe-referenced coordinate was observed in all underwater  
14 measurements. A relatively large melt pond centered near  $-63$  m and  $-48$  m (MP in Fig. 2).  
15 The ice draft under this melt pond was small (1.20–1.30 m). In general, low ice drafts ( $< 1.30$

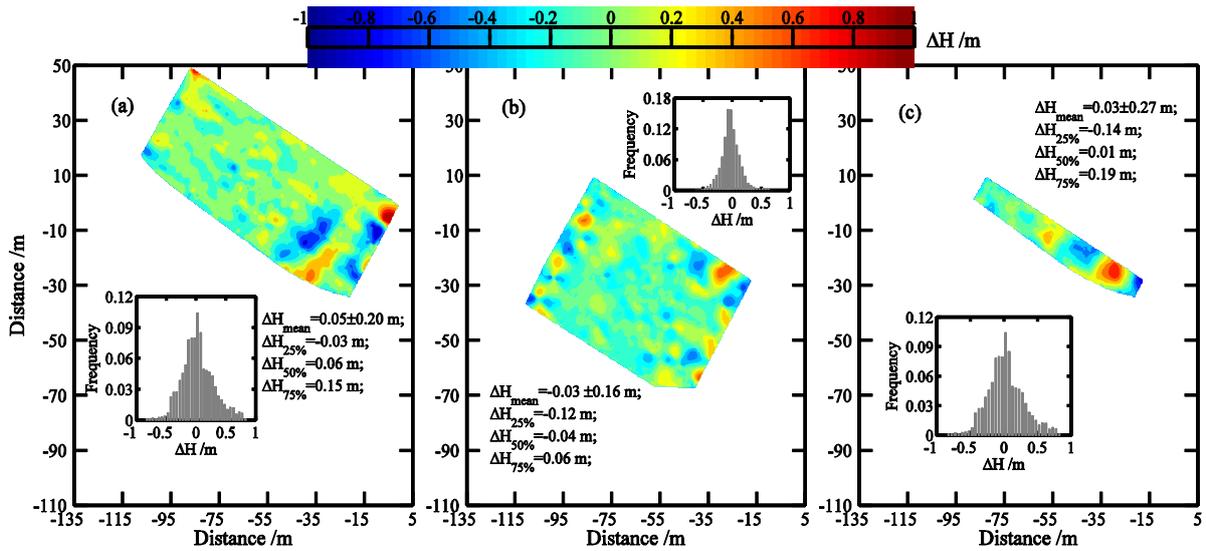
1 m) related to the surface melt pond. However, hummocks with ice draft of 2.0–2.9 m were  
 2 nearly unidentifiable by surface visual observation, because of weathering. Based on all  
 3 measurements data, the ice draft was 1.00–2.90 m. By adding snow depth and freeboard of  
 4 0.05–0.35 m,  $H_{s+i}$  was 1.05–3.25 m, which was in the range of the shipborne EM31  
 5 measurements (Fig. 3). From the comparisons between pair measurements of ARV, it is found  
 6 that fifty percent of the deviations were less than  $\pm 0.20$  m (Fig. 9). Although most deviations  
 7 were relatively small, they had a wide distribution, ranging from  $-0.95$  to  $0.98$  m. In contrast  
 8 to the high along-track measurement resolution ( $0.10$  m), the cross-track resolution was low,  
 9 with a maximum of  $10$  m. In the overlap region, the ARV tracks had an offset between two  
 10 launches, which could have caused ice draft deviations, especially in the region with large  
 11 bottom roughness. Therefore, there are some limitations of using the DVL for the three-  
 12 dimensional mapping of ice bottom morphology relative to a multibeam swath sonar (e.g.,  
 13 Wadhams et al., 2006; Williams et al., 2014).



14

15 Fig. 8 Spatial distributions of sea ice draft measured by a ULS onboard the ARV at 0400 and  
 16 2100 on 21 August (a–b), and 0300 on 23 August 2014; red line is ARV track. Statistics, red  
 17 and black for data prior to and after interpolation:  $H_{mean}$ ,  $H_{25\%}$ ,  $H_{50\%}$ , and  $H_{75\%}$  denote  
 18 average, 25%, 50%, and 75% maxima of ice draft, respectively.

1



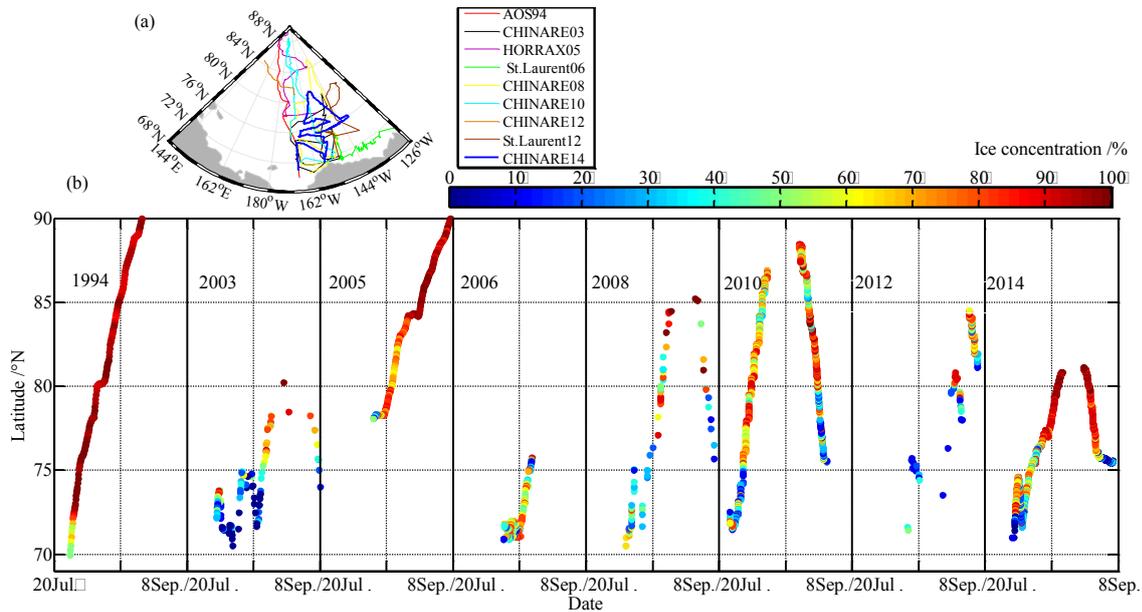
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3 Fig. 9 Deviations of sea ice draft between data shown in Fig. 8b and 8a (a), between Fig. 8c  
4 and 8b (b) , and between Fig. 8c and 8a (c). Insets show corresponding frequency  
5 distributions. Statistical values are also shown.

### 6 3.3 Comparison with historic shipborne observations

7 Comparisons with historic shipborne observations showed that ice observed during the  
8 AOS94 experiment was the most compact (Fig. 10), because summer Arctic sea ice decreased  
9 remarkably during the succeeding two decades. In late July 1994, the southern boundaries of  
10 the MIZ and PIZ were around  $69.9^\circ\text{N}$  and  $72.2^\circ\text{N}$ . North of  $72.2^\circ\text{N}$ , sea ice concentration was  
11 generally  $> 85\%$ . The sea ice was quite compact in both years 2003 and 2014. In these  
12 summers, boundaries of the PIZ were around  $76\text{--}77^\circ\text{N}$  in mid August, retreated northward  $<$   
13  $100$  km by early September, whereas the southern boundary of the MIZ retreated from  $\sim 71^\circ\text{N}$   
14 to  $\sim 75.5^\circ\text{N}$  from late July to early September 2014, comparable with the years 2008 and  
15 2010. Observations in 2008, 2010 and 2012 showed that even regions north of  $83^\circ\text{N}$  were  
16 covered by sea ice with concentration  $< 60\%$ . In early September 2012, the MIZ was furthest

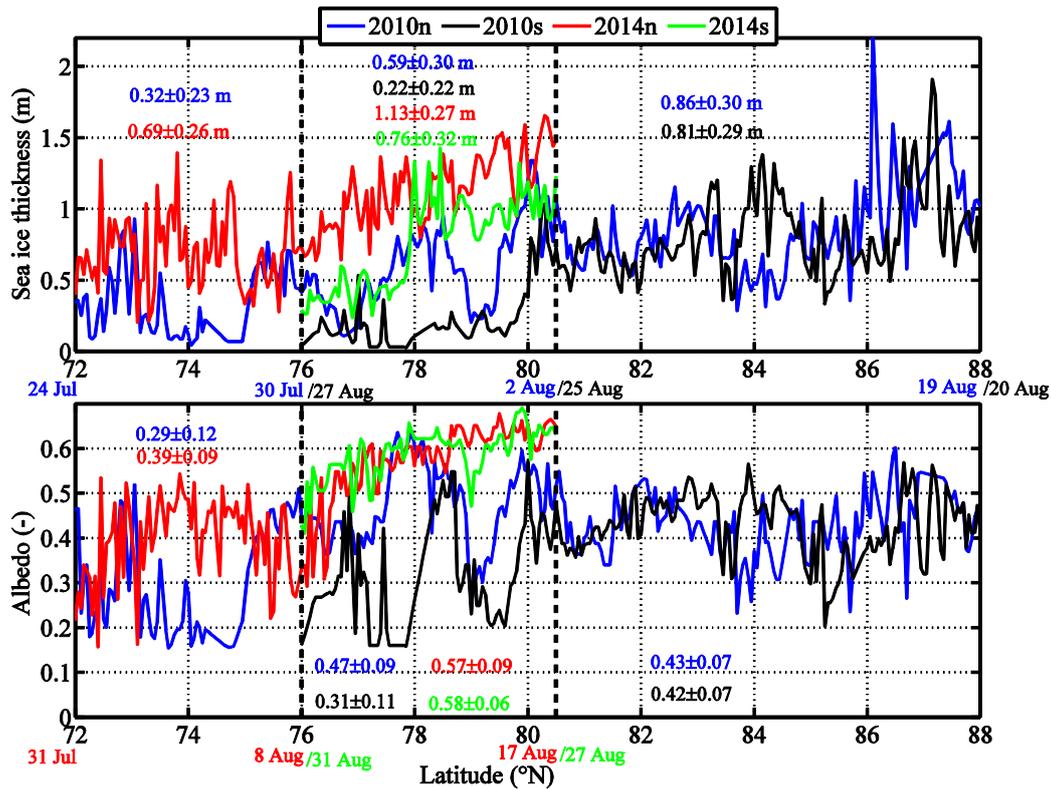
1 north ( $\sim 81^\circ\text{N}$ ), about 500–600 km further north than that in 2014, which agrees with the  
2 satellite-derived minimum Arctic sea ice extent during that summer.



3  
4 Fig. 10 (a) Trajectories of nine cruises from 1994 to 2014 in the Pacific section of Arctic  
5 Ocean; (b) sea ice concentration from shipborne observations.

6 To characterize changes in the ice volume, a local average sea ice thickness was defined  
7 as the ice thickness weighted by ice concentration. Similarly, to characterize changes in  
8 regional albedo, a local weighted average albedo could be obtained through visually observed  
9 sea ice concentration and melt pond fraction, and the assumed albedo for melt pond (0.3), sea  
10 ice (0.7), and open water (0.1), respectively. By late July to early August in  $72^\circ\text{--}76^\circ\text{N}$ , the  
11 weighted average ice thickness and albedo obtained in 2014 were 0.37 m thicker and 0.1  
12 larger than those in 2010 (Fig. 11). In  $76\text{--}80.5^\circ\text{N}$ , the weighted average ice thickness obtained  
13 in early-to-mid August 2014 was 0.54 m thicker than that for the same days of 2010, which  
14 implied a remarkable increase in sea ice volume in 2014. Accordingly, the weighted average  
15 albedo in  $76\text{--}80.5^\circ\text{N}$  obtained in 2014 was 0.1 larger than that in 2010. During CHINARE-  
16 2010, average albedo in late August for this region was much smaller than that observed 23–

1 28 days ago (0.31 vs. 0.47) due to the loss of sea ice cover. However, in late August 2014 this  
 2 albedo increased slightly compared to that observed 10–20 days ago (0.58 vs. 0.57) due to the  
 3 retention of sea ice concentration and surface refreezing. Consequently, about 27% less solar  
 4 radiation could be absorbed by the ice-ocean system in late August for 2014 relative to 2010  
 5 in 76–80.5°N, assuming no change occurred for the incident solar radiation.



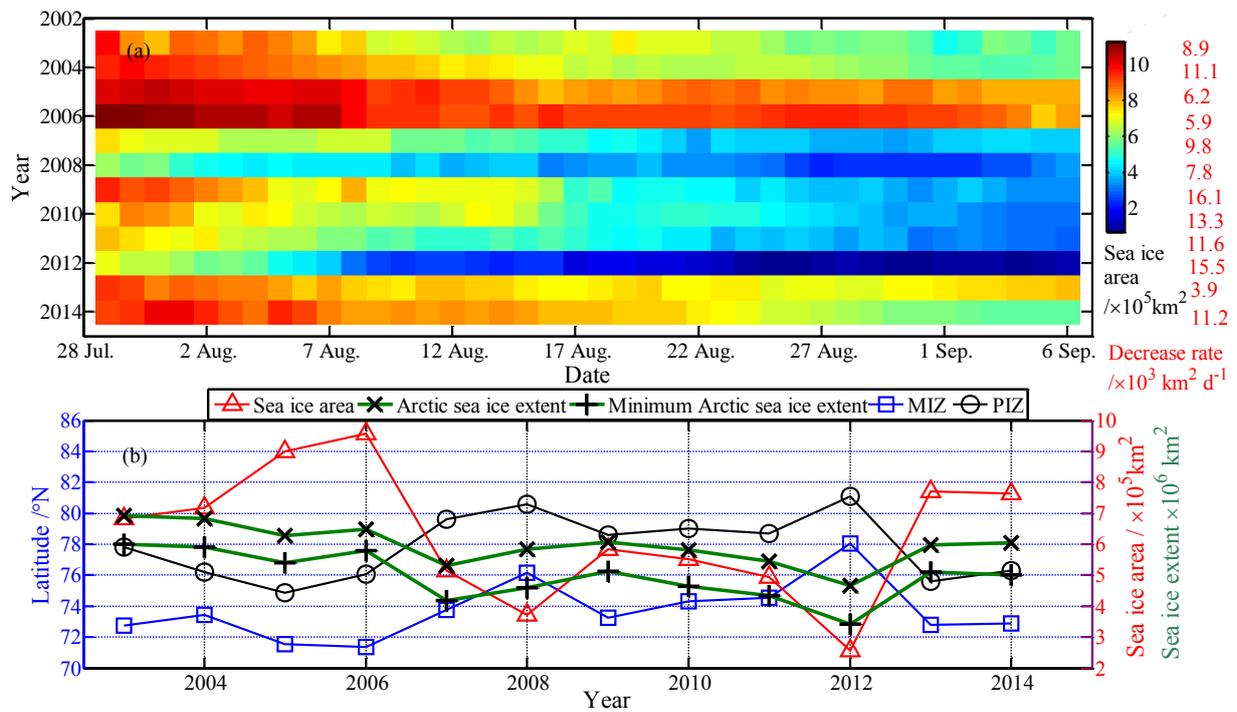
6  
 7 Fig. 11 Weighted average sea ice thickness (a) and surface albedo (b) along the northward and  
 8 southward legs derived from shipborne visual observations during CHINARE-2010 and 2014.  
 9 Also shown are the averages in the sub regions of 72–76°N, 76–80.5°N and 80.5–88°N.

### 10 3.4 Sea ice area and type determined from remotely sensed data

11 Although sea ice extent reached to the southern boundary of the defined domain on 29  
 12 July for all years 2003–2014, the spatial distribution of ice concentration on that day shows  
 13 large interannual variability. The average sea ice area from 29 July to 6 September for the  
 14 study years was  $6.3 \times 10^5 \text{ km}^2$ . Prior to 2007, the sea ice area was relatively extensive (Fig.  
 15 12a), but after this year, the area clearly decreased, with some recoveries in 2009, 2013, and

1 2014. Seasonally, sea ice area reduced nearly linearly from 29 July to 6 September in all study  
2 years, with average reduction rate of  $10.1 \times 10^3 \text{ km}^2$  per day.

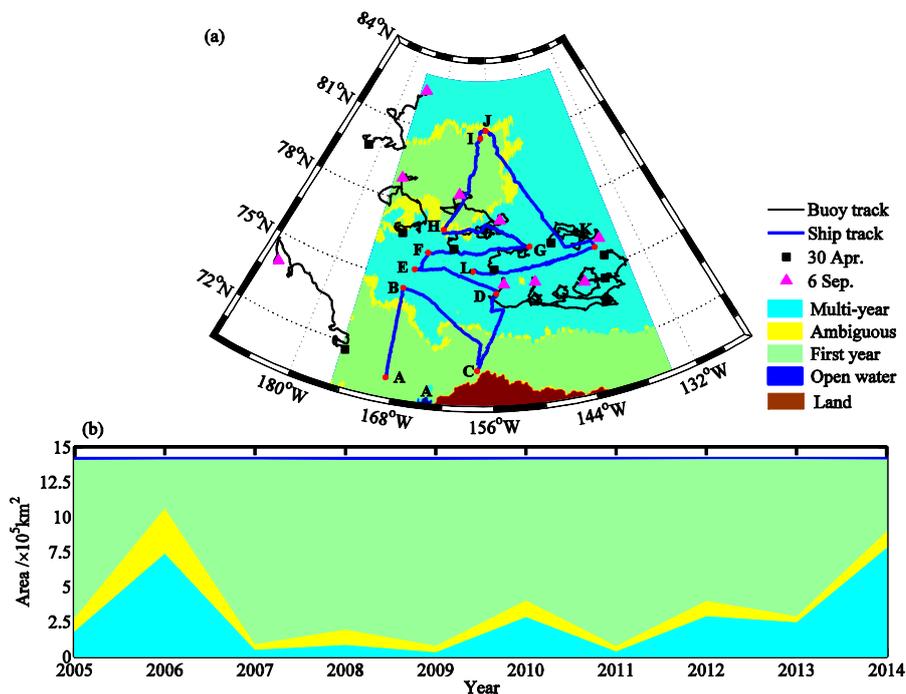
3 From Pearson correlation analysis, we found that the average sea ice area in the domain  
4 from 29 July to 6 September depended more strongly on the initial value on 29 July ( $R=0.91$ ,  
5  $P<0.001$ ) than on the reduction rate through the study period ( $R=0.61$ ,  $P<0.05$ ). The average  
6 sea ice area in the study period correlated significantly with the average position of the  
7 southern boundaries of MIZ and PIZ ( $P<0.001$ ). The relatively low initial value on 29 July  
8 ( $7.1 \times 10^5 \text{ km}^2$ ) and the relatively high reduction rate until 6 September ( $15.5 \times 10^3 \text{ km}^2$  per day)  
9 resulted in the smallest sea ice area in 2012 ( $2.6 \times 10^5 \text{ km}^2$ ). In contrast, the initial values in  
10 2013 and 2014 were relatively large ( $9.4 \times 10^5$  and  $9.2 \times 10^5 \text{ km}^2$ ), while the daily reduction  
11 rates until 6 September were relatively small and quasi-neutral, respectively ( $3.9 \times 10^3$  and  $11.2$   
12  $\times 10^3 \text{ km}^2$  per day). Consequently, the average sea ice area in the study domain for these two  
13 years were relatively large. The average ice area during the 2014 study period was  $7.6 \times 10^5$   
14  $\text{km}^2$ , which was the fourth among 2003–2014, and second since 2007 (Fig. 12b). During  
15 2003–2014, interannual variability of ice area in the domain was consistent with that of  
16 average Arctic-wide sea ice extent and of annual minimum Arctic sea ice extent (Fig. 12b).  
17 The first can explain the latter two by 53.2% ( $P<0.05$ ) and 65.5% ( $P<0.01$ ), respectively. This  
18 implies that the interannual variability of summer sea ice in the domain is very vital for the  
19 entire Arctic Ocean.



1  
 2 Fig. 12 (a) Changes in daily sea ice area derived from AMSR-E/AMSR2 data in the study  
 3 domain from 29 July through 6 September in years 2003–2014; also shown is the decreased  
 4 rate from 29 July to 6 September for each year. (b) Interannual changes in sea ice area and  
 5 southern boundaries of MIZ and PIZ in the study domain and sea ice extent in entire Arctic  
 6 Ocean averaged over 29 July–6 September, and annual minimum Arctic sea ice extent from  
 7 2003 to 2014.

8 Although the study domain was almost completely covered by sea ice on 30 April during  
 9 the years 2005–2014, the ratio between first-year ice and multiyear ice showed strong  
 10 interannual variability (Fig. 13). The decrease of multiyear ice area in the study domain was  
 11 remarkable in the years after 2006. Noteworthy was a substantial recovery in 2014, to an  
 12 amount of  $7.8 \times 10^5 \text{ km}^2$ , which was larger than that in 2006 ( $7.4 \times 10^5 \text{ km}^2$ ). Sea ice drift in the  
 13 domain is mostly driven by the clockwise Beaufort Gyre (Kwok et al., 2013). From the ITP  
 14 GPS data (Fig. 13a) we found that, in the southeast region of our defined domain, the inflow  
 15 sea ice was advected from north of the Canadian Arctic Archipelago from 30 April to 6

1 September 2014, which was mostly multiyear ice. In contrast, first-year ice in the southwest  
 2 of our domain moved into the western Chukchi Sea. In the northwest of the domain, sea ice  
 3 drifted northward. Consequently, first-year and multiyear ice in the region are redistributed.  
 4 Furthermore, the Beaufort Gyre would supply mostly multiyear ice from the north into the  
 5 northeast of the domain. From a kinematic view, the fraction of multiyear ice within our  
 6 domain would increase from 30 April to 6 September 2014, which means that, relatively large  
 7 fraction of multiyear ice observed on 30 April 2014 would retain through the summer.



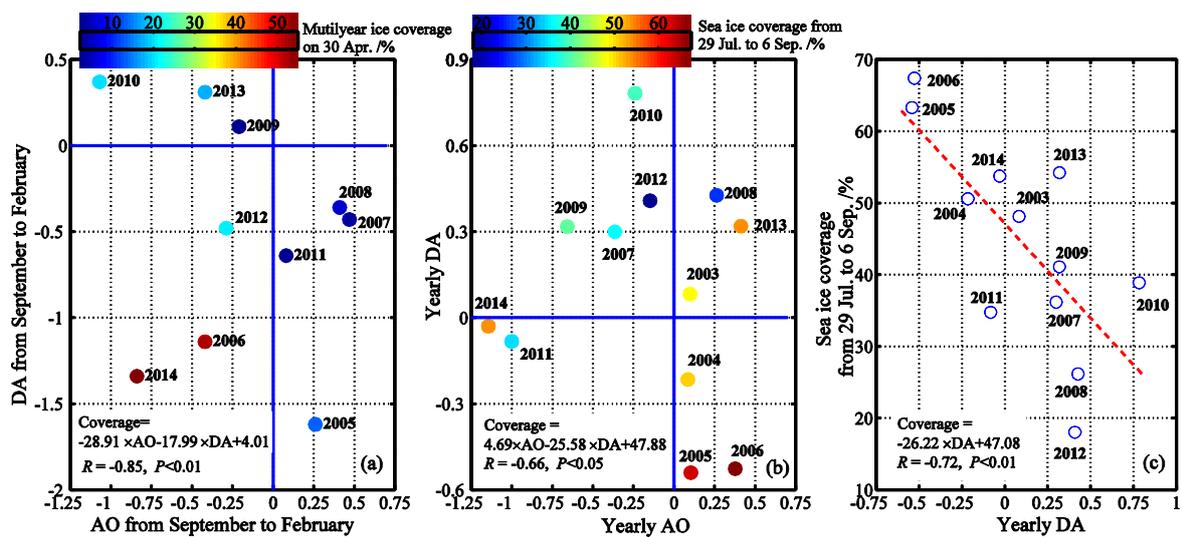
8  
 9 Fig. 13 (a) Sea ice classification in the defined domain on 30 April 2014 obtained from the  
 10 OSI-SAF dataset, trajectory of the *Xuelong* (blue), and ITP trajectories (black) from 30 April  
 11 to 6 September 2014; (b) interannual changes in sea ice areas of various classifications on 30  
 12 April, 2005–2014.

### 13 4 Discussions

14 From 2005 to 2014, a composite of AO and DA from September through February could  
 15 explain the fraction of multiyear ice in the study domain on 30 April by 72% ( $R^2$ ; Fig. 14a).

16 Both negative AO and DA from September through February were closely related to the large

1 coverage of multiyear ice on 30 April. This could be explained by enhanced inflow advection  
 2 from north of the Canadian Arctic Archipelago, owing to anticyclonic wind anomalies and  
 3 reduced outflow advection into the Trans-polar Drift Stream (TDS) caused by anomalous  
 4 south meridional winds in the study domain under the negative AO and DA (Kwok et al.,  
 5 2013; Wang et al., 2009). In the years 2003–2014, combination of yearly AO and DA indices  
 6 could explain average sea ice coverage from 29 July through 6 September in the study domain  
 7 by 44% ( $R^2$ ; Fig. 14b). However, the contribution of AO in this regression was negligible.  
 8 Removing the AO, the yearly DA alone could explain 52% of the variability in the summer  
 9 ice cover (Fig. 14c). This emphasizes the importance of DA to the summer sea ice condition  
 10 in the study domain.



11  
 12 Fig. 14 (a) Relationships between coverage of multiyear sea ice in the study domain on 30  
 13 April and the DA/AO indices from September through February; (b) between average sea ice  
 14 coverage in the study domain over 29 July–6 September and yearly DA/AO indices, and (c)  
 15 between average sea ice coverage in the study domain over 29 July–6 September and yearly  
 16 DA index.

1        The average AO and DA indices were  $-0.84$  and  $-1.34$  from September 2013 through  
2 February 2014, much lower than their averages between 2002 and 2014 ( $-0.16$  and  $-0.40$ ,  
3 respectively). This implies strong sea ice inflow from north of the Canadian Arctic  
4 Archipelago and weak outflow into the TDS between September 2013 and February 2014.  
5 Therefore, the multiyear ice concentration in the study domain on 30 April was greatest in  
6 2014 (55%) among 2005–2014. From March–August 2014, the AO index remained extremely  
7 low ( $-1.28$ ) and DA was relatively high ( $1.28$ ), compared with their averages for 2002–2014  
8 ( $-0.16$  and  $0.61$ ). This suggests strong inflow through the eastern boundary and strong  
9 outflow through the northern boundaries of the study domain from March–August 2014, as  
10 shown in Fig. 13a. There was a relatively rapid retreat of the MIZ from late July through early  
11 September 2014, which was associated with invigorated south winds under the positive DA.  
12 However, the enhanced south winds were unable to produce abundant open waters in the PIZ  
13 during summer 2014, because of the large fraction of compact and thick multiyear ice.

14        Kwok (2015) found that an outstanding sea ice convergence occurred along the coast of  
15 Canadian Arctic Archipelago during the summer of 2013, due to a strong wind-driven onshore  
16 ice drift. This resulted in an sea ice area compressing by 23% and an increase in ice thickness  
17 by  $\sim 30\%$  for this region. Under the strongly negative polarity of the AO from September 2013  
18 through August 2014 ( $-1.14$ ), the deformed ice along the coast of Canadian Arctic Archipelago  
19 was likely to be advected into our study domain. Therefore, the relatively deformed and  
20 compact sea ice observed during the CHINARE-2014 was mainly caused by year-round  
21 negative polarity of the AO.

## 22    **5        Conclusions**

23        Both, the remotely sensed passive microwave as well as shipborne observations  
24 indicated that summer 2014 exhibited highly compact and deformed sea ice. This may be  
25 attributed to a number of factors, including a remarkable sea ice convergence occurring along

1 the coast of Canadian Arctic Archipelago during the summer of 2013, an AO with strong  
2 negative polarity during September 2013–August 2014, promoting multiyear sea ice inflow  
3 from north of the Canadian Arctic Archipelago into the sector, and a DA with strong negative  
4 polarity during September 2013–February 2014, which was responsible for a weakened sea  
5 ice outflow from the study domain into the TDS. In contrast, the strong positive polarity of  
6 DA during March–August 2014 resulted in a strong south wind and rapid retreat of the MIZ  
7 in the study sector during the summer. However, due to the large concentration of multiyear  
8 sea ice, the PIZ showed persistence from late July to early September 2014, which was  
9 manifested by a little retreat of the PIZ boundary ( $< 100$  km), persistent high ice concentration  
10 and large floe size, and no extensive open water in that region.

11 By late July, shipborne observations during CHINARE-2014 showed that, the sea ice in  
12 the MIZ from  $71.0^{\circ}$  to  $76.2^{\circ}$ N was mostly deformed because thin level ice had already melted,  
13 resulting in poor agreement between ice thickness data from visual observations and EM  
14 measurements. Upon entering the PIZ, the contribution of ice ridges to the ice thickness  
15 distribution decreased with increase of level ice. Level ice thickness increased from  $0.4$ – $1.4$  m  
16 near  $76^{\circ}$ N to  $1.1$ – $2.0$  m near  $81^{\circ}$ N. Observations along the southward track from 26 August  
17 through 6 September differed from those along southward track from 29 July through 17  
18 August in diverse aspects: Firstly, surface refreezing occurred along southward track,  
19 accompanied by reduced surface temperature and increased surface albedo. Secondly, ice  
20 thickness decreased remarkably in the southern PIZ, which could be attributed to the  
21 substantial oceanic heat from the MIZ. Thirdly, the southern boundary of the MIZ has  
22 retreated by about  $450$ – $550$  km along southward track.

23 From underwater ULS measurements, we found that basal topography under melt ponds  
24 mirrored the top surface structure due to local albedo feedback. However, not all ice

1 hummocks or ridges observed by the ULS can be identified by surface observation due to  
2 weathering. Compared with the shipborne and ground-based EM measurements, the ULS  
3 onboard the ARV provided high-resolution three-dimensional measurements, which is  
4 nontrivial because of the strong anisotropy of ice bottom morphology. For the ULS  
5 measurements, cross-track resolution needs also to be considered, especially for regions with  
6 strong spatial change in ice draft.

7 At the LS at 81°N, the mode of  $H_{s+i}$  from the ground-based EM was 1.48 m. No  
8 significant sea ice melt was observed during the LS in late August 2014. This was clearly  
9 different from the conditions during 2010 summer, when substantial open water appeared in  
10 the central Arctic Ocean and sea ice melt was still identifiable at ~ 87°N (Lei et al., 2012b).  
11 Larger multiyear ice inflow during the winter, less sea ice melt in the subsequent summer, and  
12 earlier sea ice refreezing in the fall were likely to constitute a feedback loop from 2013 to  
13 2014.

## 14 **Acknowledgements**

15 This work was financially supported by the National Natural Science Foundation of China  
16 (No. 41476170) and Chinese Polar Environment Comprehensive Investigation and  
17 Assessment Programs (Nos. CHINARE2015-03-01/04-03/04-04). JW was supported by the  
18 NOAA CPO Office of Arctic Research through RUSALCA project, which was GLERL  
19 Contribution (No. 1821). PH was supported by the Antarctic Climate and Ecosystems CRC  
20 program. This work contributes to Australian Antarctic Science project (No. 4301).

21

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## **Highlights**

- **Summer Arctic sea ice morphology has been measured using multi-scale methods**
- **The PSA had compact sea ice in summer 2014 due to year-round negative AO**
- **Larger winter ice inflow and less summer melt induced earlier refreezing in 2014**

Fig.1

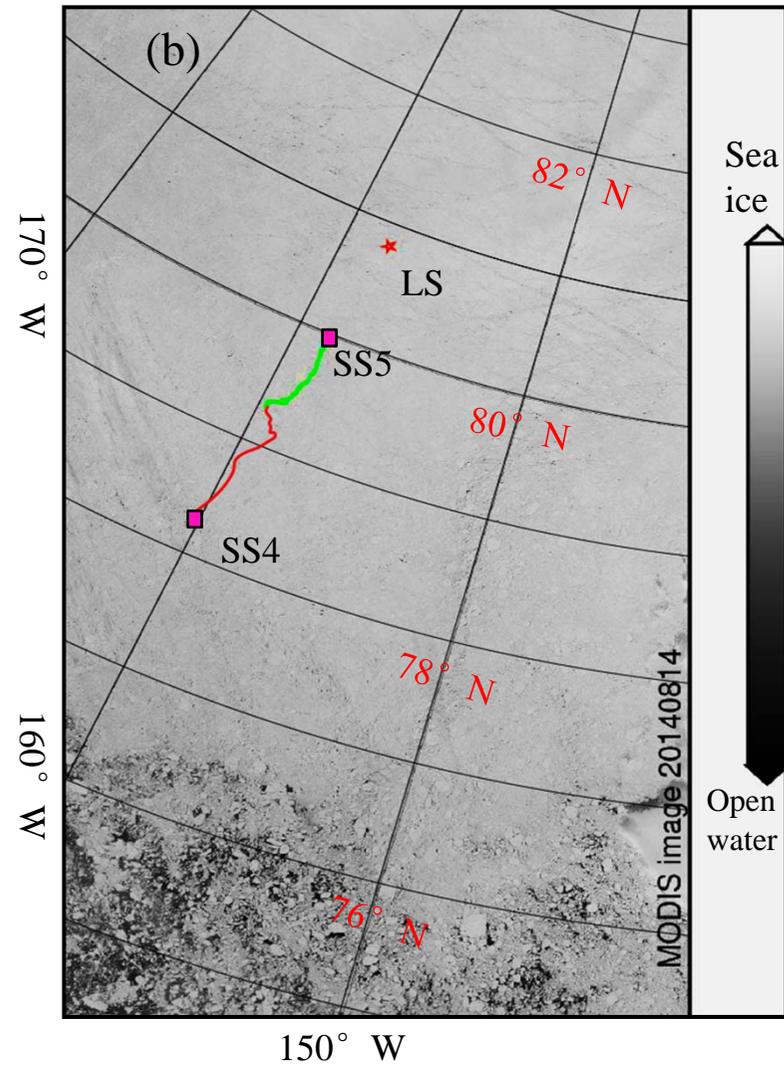
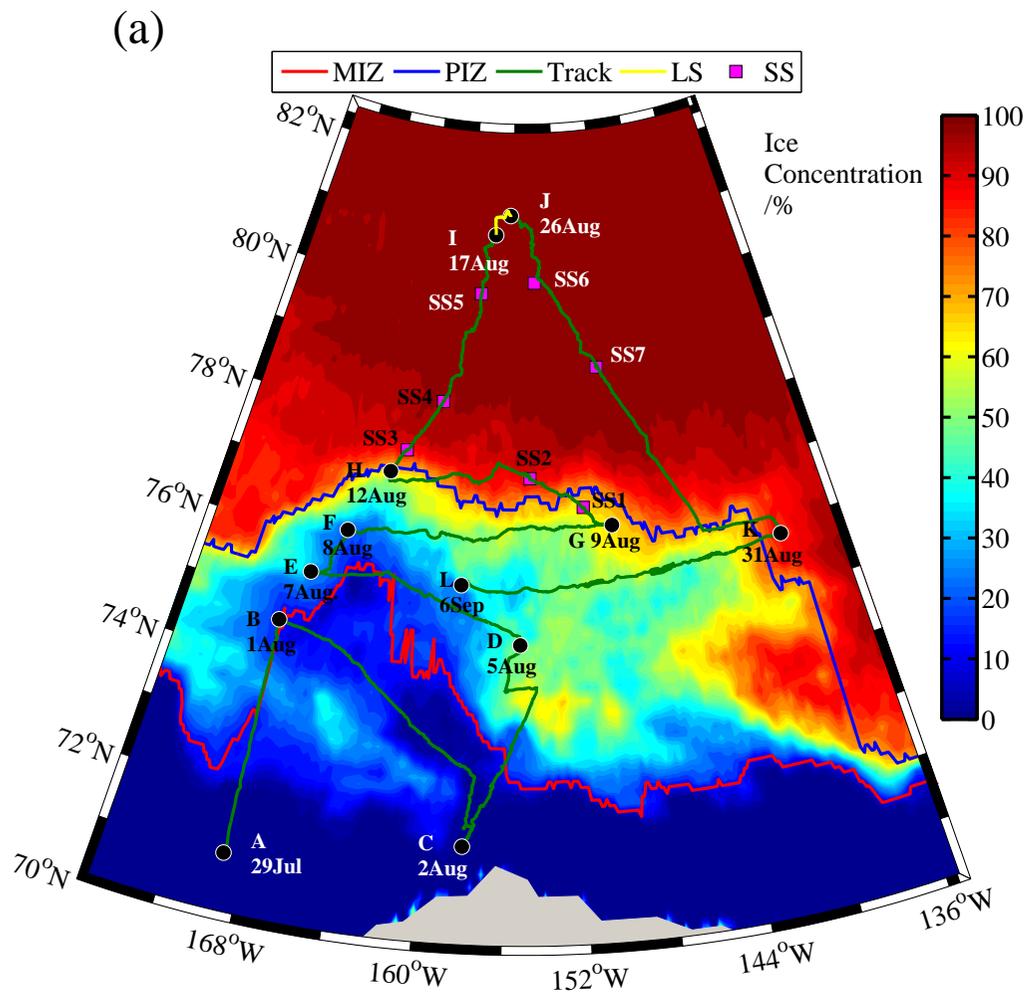


Fig.2

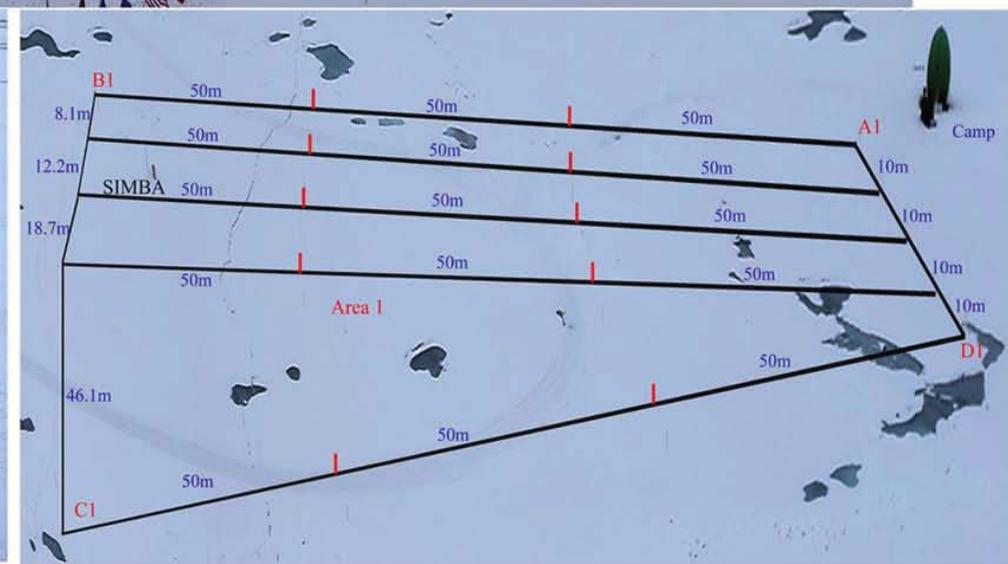
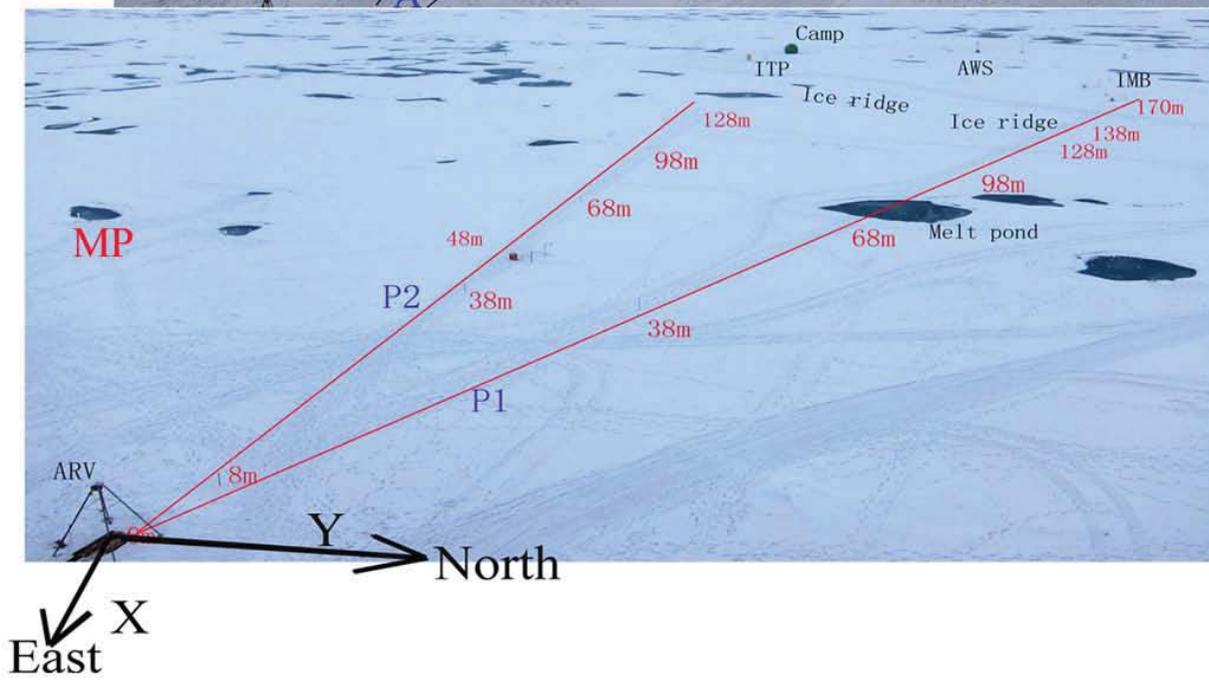
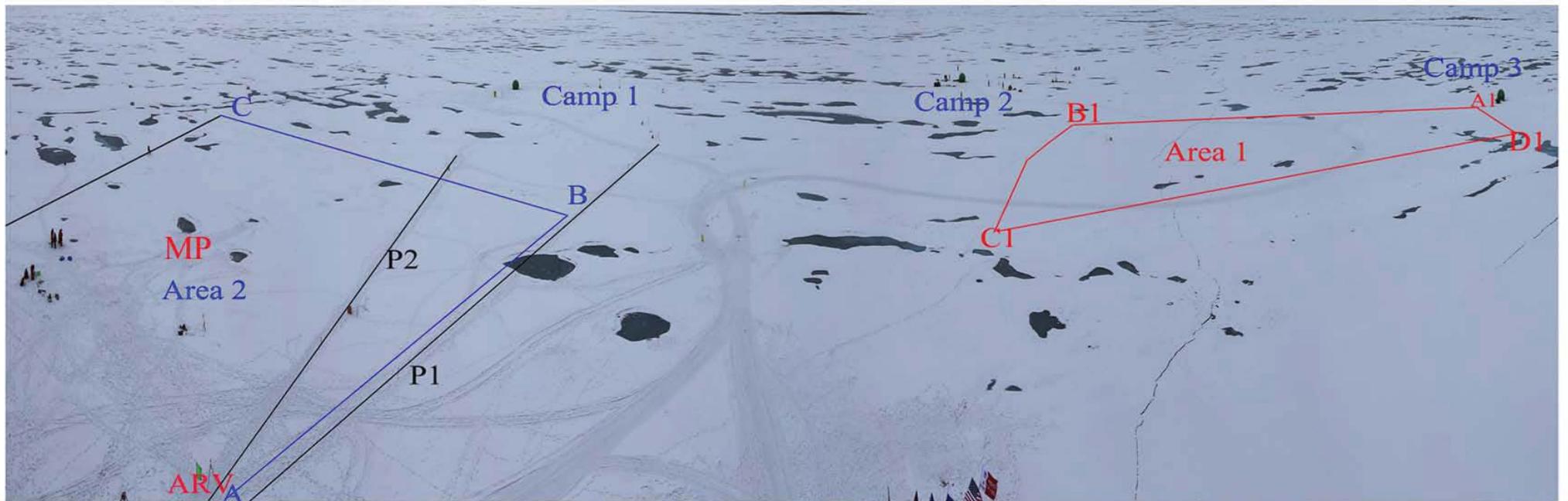
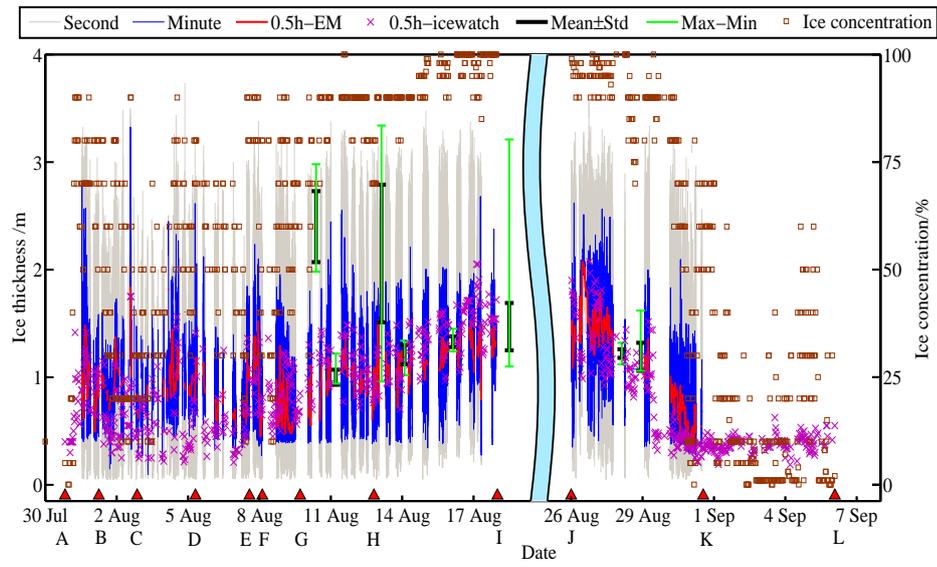


Fig.3



Figure(s)

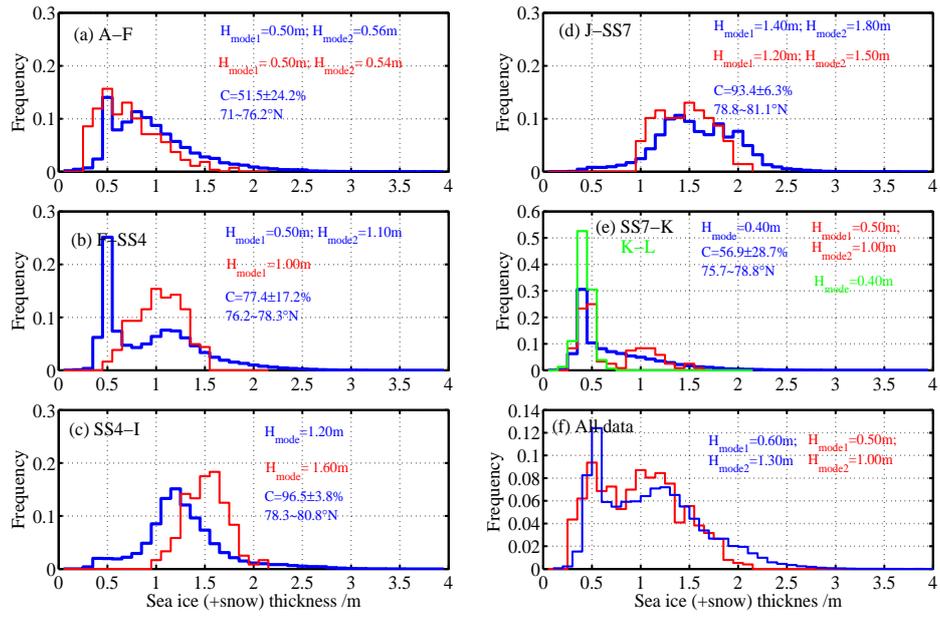


Fig.5

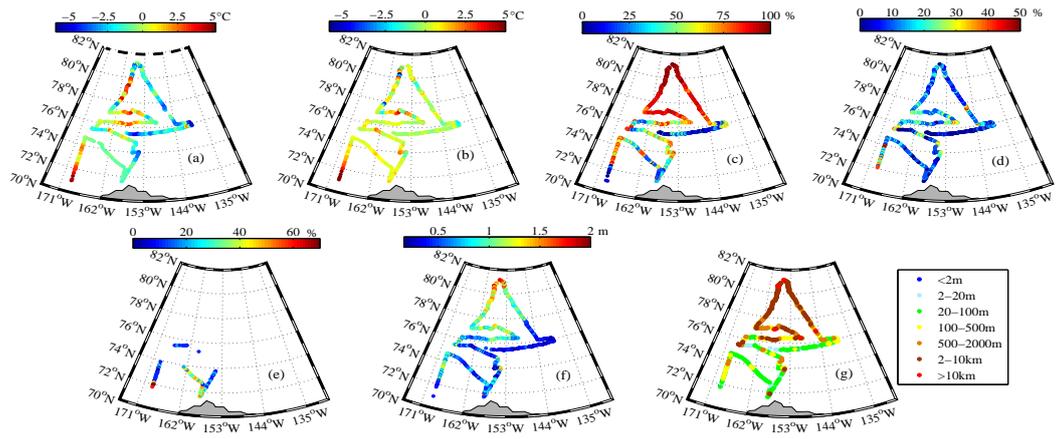
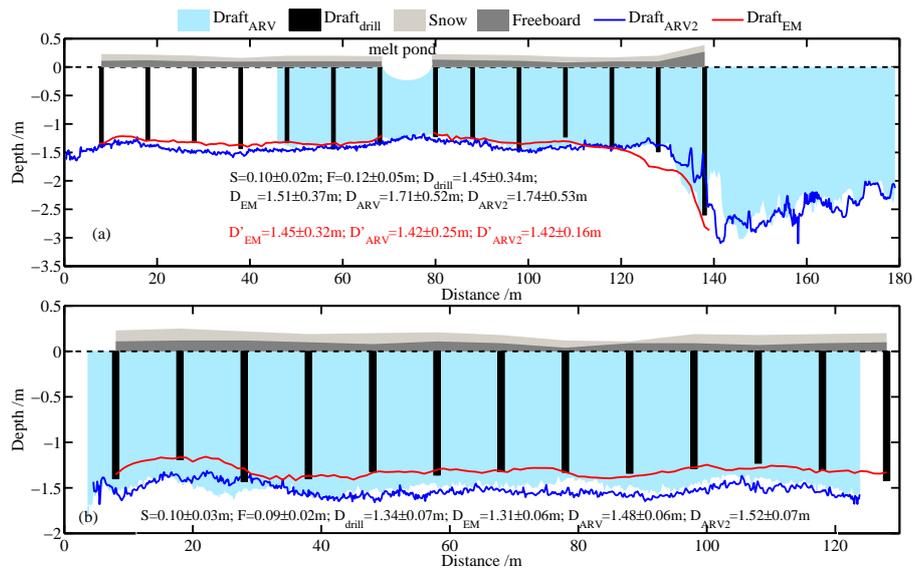


Fig.6



Figure(s)

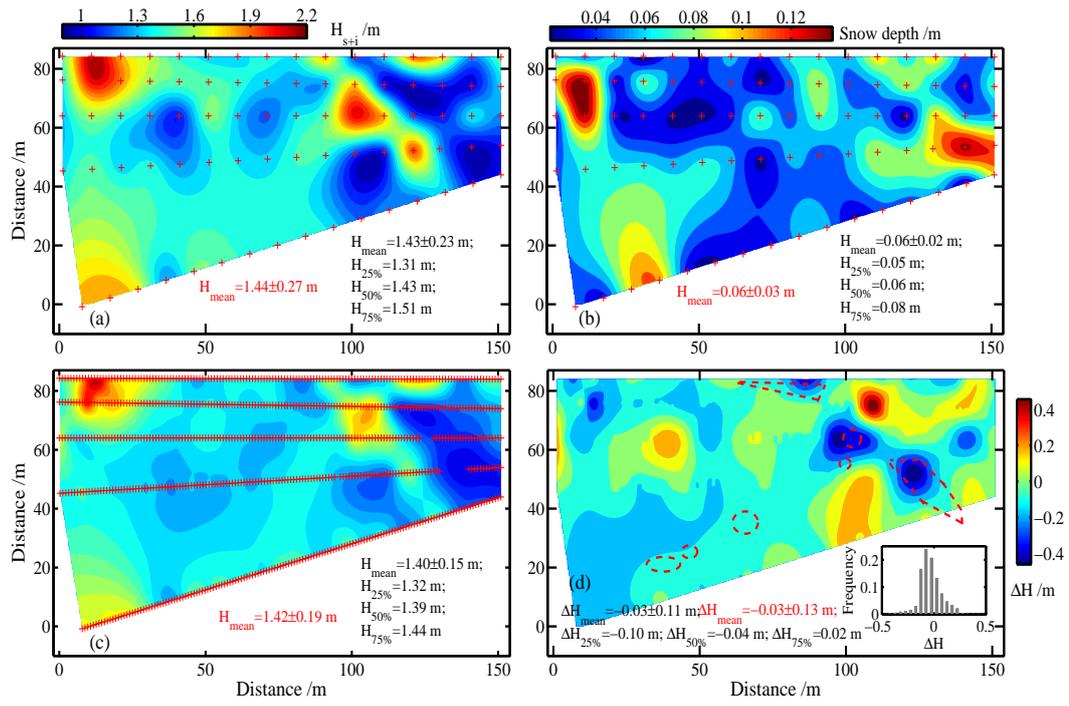


Fig.8

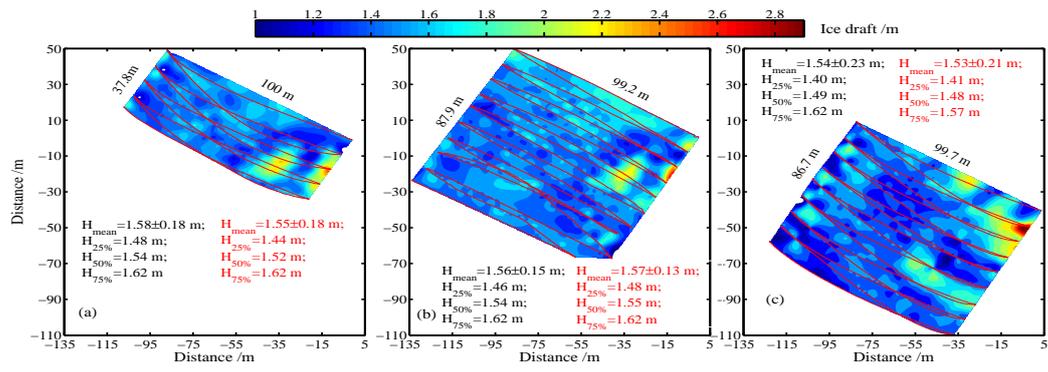


Fig.9

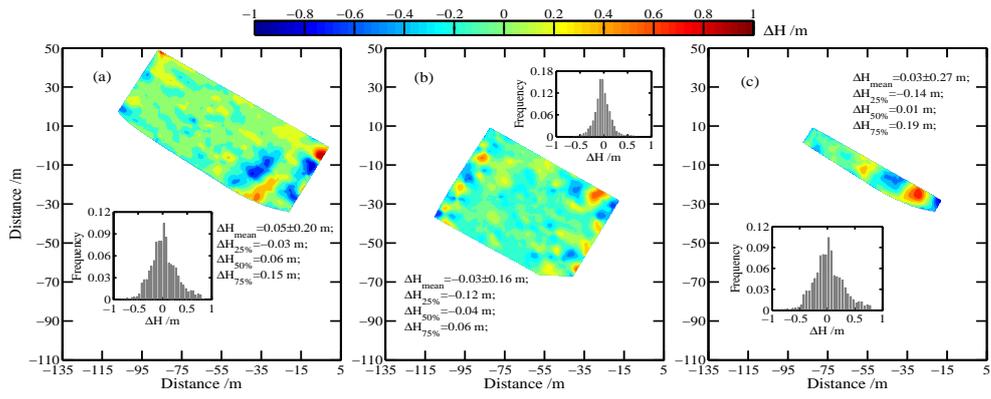


Fig.10

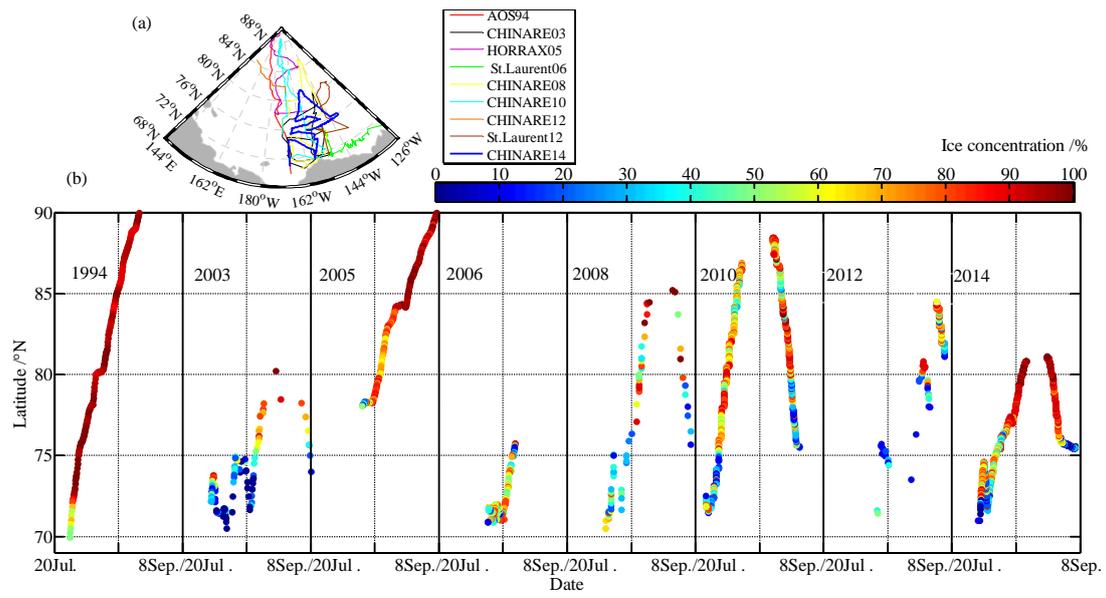


Fig.11

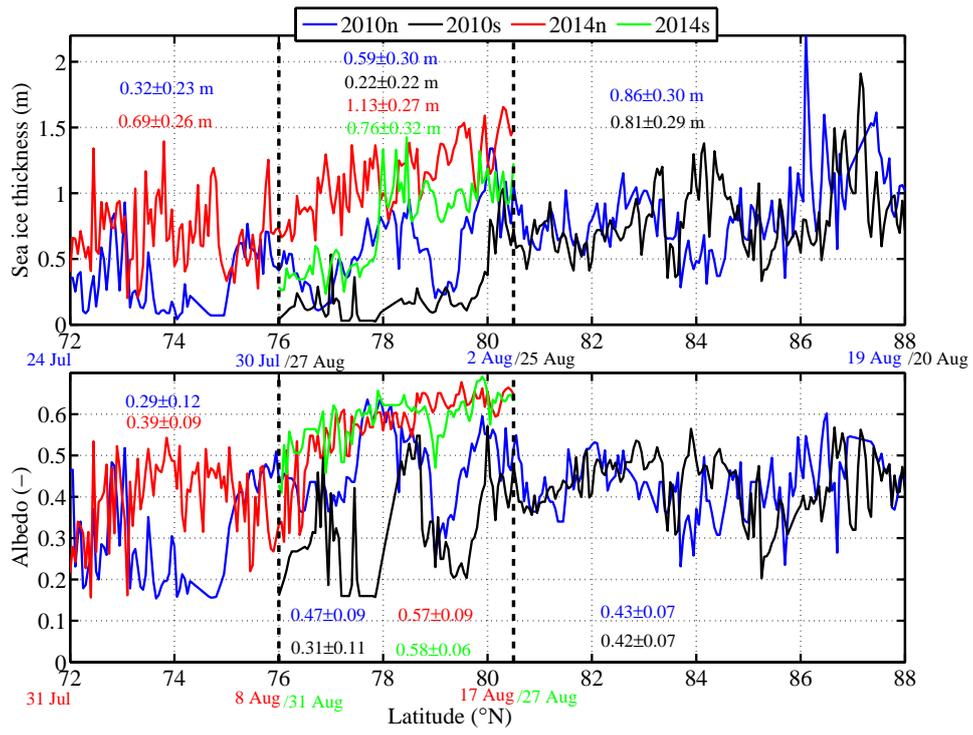


Fig.12

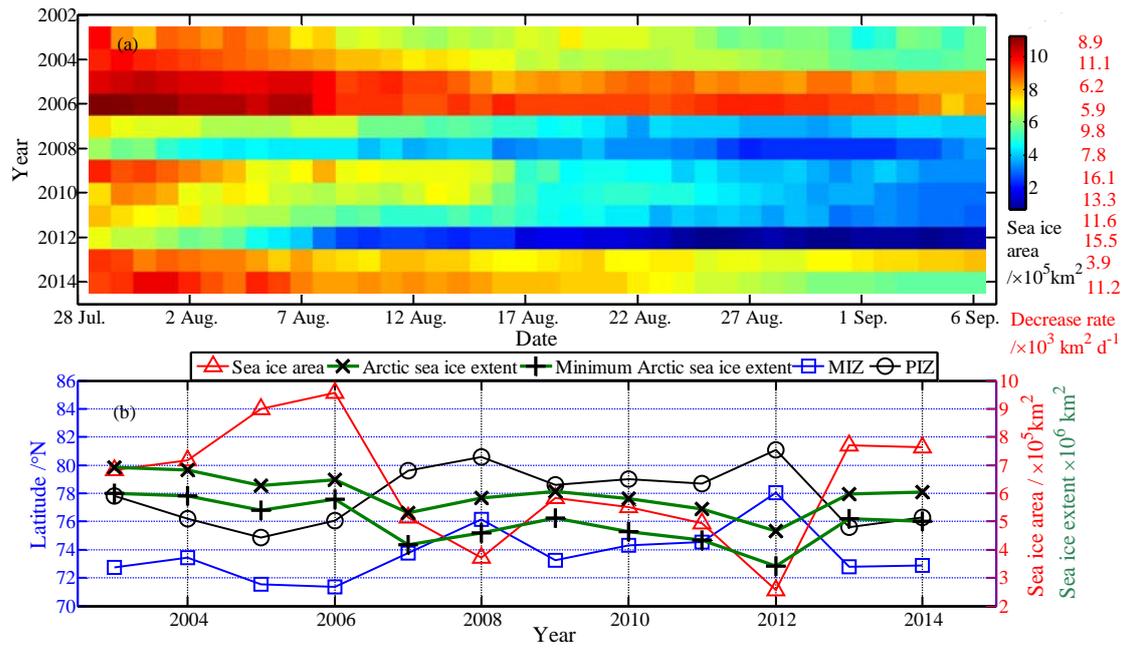


Fig.13

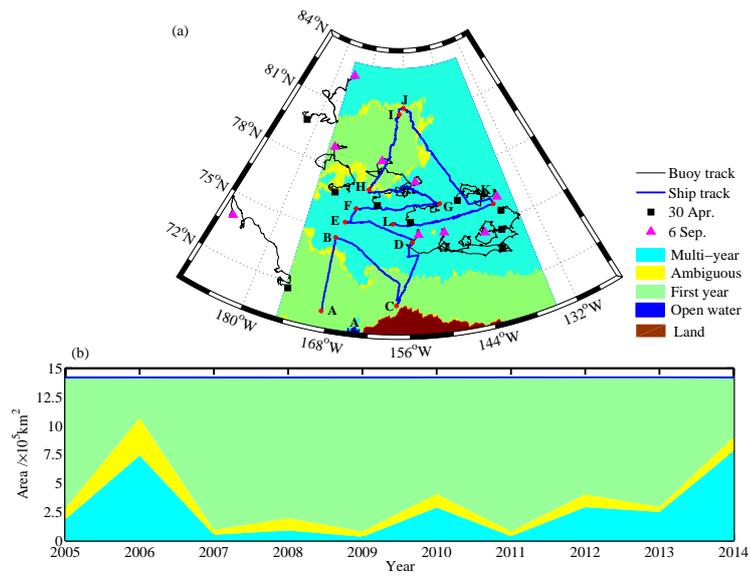


Fig.14

